



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9274
<b>P&amp;S #:</b>	8847
<b>Date:</b>	03/10/2023
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, March 10, 2023:**

Quasar Distributors LLC/Fulcrum Funds

No. 3236

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, ToRa, DCC&S, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, March 13, 2023**

Ultimus Fund Distributors, LLC/Fulcrum Funds

No. 3236

Contact: Chris Baird

Phone: (402) 578-0172

- The following firm will retire from all services, as detailed below:

**Last Trade Date, March 14, 2023:**

BNY Mellon Securities Corporation/The Bank Of New York Mellon

No. 5913

- The following firm will no longer participate in the Omni/SERV Activity & Position service, as detailed below:

**Last Trade Date, March 15, 2023:**

Capital Investment Group, Inc.

No. 5664

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Non-Confidential

- The following firm will change their name only, as detailed below:

**First Trade Date, March 14, 2023:**

From: Foreside Fund Services, LLC/NN (L)	No.	5473
To: Foreside Fund Services, LLC/GS Funds	No.	5473

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, March 08, 2023:**

SS&C Technologies, Inc./Hallador Balanced Fund, LLC.	No.	13OF
SS&C Technologies, Inc./Moka Fund LP	No.	13OG

**First Trade Date, March 13, 2023:**

Partnersadmin LLC/Felicitas Global Partners	No.	13QB
Partnersadmin LLC/Hilltop Residential Funds	No.	13QC
NAV Consulting Inc/Ambrus Volatility Fund L.P	No.	13QG
SS&C Technologies, Inc./Revere Credit Opportunities Fund III, LP	No.	13QH
SS&C Technologies, Inc./Revere Tactical Opportunities Fund IV, LP	No.	13QJ

**First Trade Date, March 14, 2023:**

Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund VIII	No.	13Q5
Phoenix American Financial Services, Inc./Capital Square Denton BFR LLC	No.	13Q6

**First Trade Date, March 15, 2023:**

Orock Technologies, Inc.	No.	13QK
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**First Trade Date, March 16, 2023:**

HC Global Fund Services, LLC/SQN Venture Income Fund III LP	No.	13QD
Inland Real Estate Investment Corporation/IVP Milwaukee Multifamily, LLC	No.	13QQ
Inland Real Estate Investment Corporation/IVP Milwaukee Multifamily, LLC Advisory	No.	13QR

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Profile, DCC&S, DTCC Payment Axis, and MF Info Xchange services, as detailed below:

**First Trade Date, March 08, 2023:**

UMB Distribution Services, LLC/Pender Capital Contact: Michael Huisman Phone: (414) 299-2242	No.	2325
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- The following firm will begin participation in OTC Comparison, Bond Comparison and Obligation Warehouse, ACATS and ACATS Fund/SERV services, as detailed below:

**First Trade Date, March 10, 2022:**

Altruist Financial LLC	No.	3164
OTC Alpha Identifier		ALTR
CORP Alpha Identifier:		ALTR
MUNI Alpha Identifier:		ALTR
UIT Alpha Identifier:		ALTR

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Profile, and MF Info Xchange services, as detailed below:

**First Trade Date, March 15, 2023:**

Foreside Fund Services, LLC/Finite Funds	No.	1406
Contact: Erica Rankine		
Phone: (414) 710-3034		

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Profile, Omni/SERV Activity & Position, and DTCC Payment Axis services, as detailed below:

**First Trade Date, March 20, 2023:**

Foreside Fund Services, LLC/Aristotle Investment Services, LLC	No.	1425
Contact: Casey Sauer		
Phone: (414) 203-9122		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<b><u>Delete Last Trade Date, March 06, 2023</u></b>							
Bruderman Brothers, Inc.	PERS	0443	MJBC			✓	
Whitehall-Parker Securities, Inc	PERS	0443	QWER		✓	✓	✓
<b><u>Delete Last Trade Date, March 08, 2023</u></b>							
Velocity Capital, LLC	IBKR	0017	VCAO	✓			
<b><u>Delete Last Trade Date, March 09, 2023</u></b>							
Benjamin Securities, Inc.	IBKR	0534	BENJ	✓			
<b><u>Add First Trade Date, March 08, 2023</u></b>							
Velocity Clearing, LLC	QNTX	0294	VCVW	✓			

**Add First Trade Date, March 10, 2023**

Altruist Financial LLC	ALTR	3164	ALTR	✓	✓	✓	✓
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**Add First Trade Date, March 13, 2023**

PAR5 Capital & Co LLC	PERS	0443	PARV		✓		
Van Clemens & CO. Incorporated	RBCD	0235	VCFN	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).