



B #:	B18229-23
Date:	March 13, 2023
To:	All Participants
Category:	Securities Processing Updates
From:	Asset Services Product Management
Attention:	Officers / Cashiers / Operations Management
Subject:	Destruction of Non-Transferable Securities Certificates

On January 22, 2003, via Important Notice B#3834, DTC announced its plans to implement a new service to allow the depository to destroy certain certificates representing positions in securities for which transfer agent services are no longer available, called “Non-Transferable Securities Certificates.” The Destruction of Non-Transferable Securities Certificates Program was introduced to address the costs and risk relating to the increasing percentage of nontransferable physical certificates in the depository’s vault, which is now more than 30% of its overall physical inventory.

DTC submitted to the Securities and Exchange Commission Filing No. SR-DTC-2003-09; SEC Filing for Program Regarding Destruction of Non-Transferable Securities Certificates, in which it sought the Commission’s approval to proceed with the destruction program. The filing was published in the Federal Register in January 2004; and it received widespread industry support. The SEC approved the filing on July 12, 2004, and DTC instituted the certificate destruction process.

Attached to this notice is a listing of securities slated for destruction in three months. The certificates representing those securities will be destroyed on or after June 13, 2023. Participants are urged to review this list. Should you have any knowledge or belief that any of the securities should not be destroyed, please contact Matthew Popan at (212) 855-4611 [/mpopan@dtcc.com](mailto:mpopan@dtcc.com) or your Relationship Manager.

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