



Important Notice
National Securities Clearing Corporation

A#:	9286
P&S #:	8859
Date:	04/06/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will begin participating in the Omni/SERV Transparency services, as detailed below:

First Trade Date, April 05, 2023:

Foreside Distribution Services, L.P./AXA IM (LUX) No. 2626

First Trade Date, April 12, 2023:

Payden & Rygel Distributors No. 5535

- The following firm will begin participating in the Networking services, as detailed below:

First Trade Date, April 10, 2023:

Macquarie Capital (USA) Inc. No. 0114

- The following firm will begin participation in DTCC Payment Axis and Insurance Processing services, as detailed below:

First Trade Date, April 06, 2023:

Next Financial Group, Inc. No. 3166

- The following firm will begin participation in Insurance Processing services, as detailed below:

First Trade Date, April 10, 2023:

Breakthrough Insurance Group LLC No. 3713

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 05, 2023:

Phoenix American Financial Services, Inc./Versity Invest, LLC Bond Offering	No.	13ZC
UMB Fund Services, Inc./JLLX Ardenwood I, DST	No.	13Z8
UMB Fund Services, Inc./JLLX Ardenwood II, DST	No.	13Z9

First Trade Date, April 06, 2023:

Applied Fundamental Research LLC	No.	13WD
SS&C Technologies, Inc./400 Capital Credit Opportunities Fund, LP	No.	13ZR
SS&C Technologies, Inc./400 Capital Credit Opportunities Fund, LTD.	No.	13ZS
SS&C Technologies, Inc./400 Capital Asset Based Offshore Term Fund I, LP	No.	13ZW
SS&C Technologies, Inc./400 Capital Asset Based Offshore Term Fund II, LP	No.	13ZX
SS&C Technologies, Inc./400 Capital Asset Based Offshore Term Fund III, LP	No.	140B
SS&C Technologies, Inc./400 Capital Asset Based Term Fund I AIV, LP	No.	140E

First Trade Date, April 10, 2023:

Nav Consulting Inc/Cobblestone Capital Advisors, LLC	No.	141B
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First Trade Date, April 11, 2023:

Theorem Fund Services, LLC/Natare Capital Markets Event Fund LP	No.	142B
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First Trade Date, April 12, 2023:

Opus Fund Services (USA) LLC/Lido Advisors Colossus Master Fund, L.P. - CB III Onshore	No.	143H
Opus Fund Services (USA) LLC/Lido Advisors Colossus Master Fund, L.P. - ADC Fund II	No.	143I

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, April 10, 2023</u>							
From: Melvin Securities, L.L.C.	LGNT	0052	MLVN		✓	✓	
To: Melvin Securities, L.L.C.	RBCD	0235	MLVN		✓	✓	

Delete Last Trade Date, April 03, 2023

Opencash	EMBD	1963	OCSH	✓
FTX Capital Markets LLC	EMBD	1963	FTXM	✓

Add First Trade Date, April 10, 2023

Melvin Securities, L.L.C.	RBCD	0235	MLVN	✓
Intelligent Cross LLC	INCA	0067	INSR	✓
Lifesci Capital, LLC	WSGD	8199	LSCP	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.