



Important Notice
National Securities Clearing Corporation

A#:	9302
P&S #:	8875
Date:	05/19/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 19 2023:

Wells Fargo Bank, N.A

No. 6766

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Allspring Funds Distributor, LLC (#5576) for the purpose of utilizing Fund/SERV, MF Daily Price & Rate and Networking services, as detailed below:

First Trade Date, May 22, 2023

Allspring Funds Distributor, LLC/Galliard Capital Management (SMA)

No. 6766

Contact: Carmen Rangel

Phone: (612) 895-1312

- The following firms will retire from all services, as detailed below:

Last Trade Date, May 19, 2023:

National Securities Corporation

No. 9389

Last Trade Date, May 23, 2023:

Ultimus Fund Distributors, LLC/ACM Dynamic Opportunity Fund

No. 3169

Last Trade Date, May 30, 2023:

Funds Distributor, LLC/Mirae Asset Discovery Funds

No. 5322

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Non-Confidential

- The following firm will no longer participate in the Obligation Warehouse service, as detailed below:
Last Trade Date, May 19, 2023:
BNYMellon/RE ETF - UIT DTC/NSCC 0963 No. 0963

- The following firm will no longer participate in the DTCC Payment Axis service, as detailed below:
Last Trade Date, May 22, 2023:
Quasar Distributors, LLC/Crossingbridge No. 3306

- The following firm will no longer participate in the MF Daily Price & Rate, Networking, and ACATS Fund/SERV services, as detailed below:
Last Trade Date, May 23, 2023:
Foreside Distribution Services, L.P./Monte Total Return Fund No. 3753

- The following firm will begin participation in the MF Info Xchange service, as detailed below:
First Trade Date, June 01, 2023:
Raymond James & Associates, Inc. No. 0725

- The following firm will begin participation in Insurance Processing services, as detailed below:
First Trade Date, June 05, 2023:
Larson Financial Securities, LLC No. 3704

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, May 18, 2023:
NAV Consulting Inc/Ping Capital Management, Inc. No. 14FK

- **First Trade Date, May 19, 2023:**
NAV Consulting Inc/Innovative Portfolios LLC No. 16K8

- **First Trade Date, May 23, 2023:**
Phoenix American Financial Services, Inc./LHL Strategies, Inc No. 16LM
ETC Brokerage Services, LLC No. 14GS

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, May 19, 2023</u>							
Alpaca Securities LLC	PFCL	0158	APCA	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.