

A#:	9309
P&S #:	8882
Date:	06/02/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#9302 (P&S#8875) dated May 19, 2023 has been cancelled:

- The following firm will begin participation in Insurance Processing services, as detailed below:
Last Trade Date, June 05, 2023:
Larson Financial Securities, LLC No. 3704
- The following firm will retire from all services, as detailed below:
Last Trade Date, May 30, 2023:
U.S. Bank N.A./MUFG Union Bank No. 2145
- The following firm will no longer participate in ACATS service, as detailed below:
Last Trade Date, May 30, 2023
U.S. Bank N.A./MUFG Union Bank No. 2145
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, June 05, 2023:
SS&C Technologies, Inc./Mission Crest Macro Fund LP No. 16Q6
SS&C Technologies, Inc./Mission Crest Fund LTD No. 16Q7
ARES Diversified Real Estate Exchange LLC/Adrex Diversified 3 DST No. 16OL
UMB Fund Services, Inc./Cliffwater LLC No. 16Q8

First Trade Date, June 07, 2023:

Alter Domus (US) LLC/Sepio Capital LP

No. 16QA

NAV Consulting Inc/Institute For Wealth Advisors Inc.

No. 16QB

- The following firm will begin participation in ACATS, OTC Comparison and Obligation Warehouse services, as detailed below:

First Trade Date, June 01, 2023:

Alpaca Securities LLC

No. 3021

OTC Alpha Identifier

APCA

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, May 31, 2023</u> Santander Securities Corporation	PERS	0443	SSPR		✓	✓	✓
<u>Delete Last Trade Date, June 01, 2023</u> SAF Financial Securities LLC	RBCD	0235	SAFX	✓	✓	✓	✓
<u>Add First Trade Date, June 01, 2023</u> Alpaca Securities LLC	APCA	3021	APCA	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.