



IMPORTANT NOTICE

DTCC Deriv/SERV LLC

TIW#:	TIW#793
Date:	August 22, 2023
To:	Distribution
From:	Product Management
Subject:	Trade Information Warehouse Succession Event Processing for Capital One Bank (USA), National Association

Please be advised that, as set forth in Section VII of the Trade Information Warehouse Post-Trade Services Appendix to the DTCC Deriv/SERV Operating Procedures (the “Operating Procedures”), it has been requested that the Trade Information Warehouse (“TIW”) create a successor event (as defined in the Operating Procedures) for Capital One Bank (USA), National Association (the “Rename Event”).

The pertinent details of the Succession Event are:

Senior Reference Obligation

Successor Event Name:	CAPONE AUG23
Old Reference Entity:	Capital One Bank (USA), National Association
New Reference Entity:	Capital One, National Association
New RED:	1F446GAA0
New Reference Entity ISIN:	US14042TCT25
Event Processing Start Date:	August 28 th , 2023
Event Processing End Date:	August 30 th , 2023
Adherence Method:	Auto-Auto adherence

As the Succession Event is set for Auto-Auto adherence, the TIW will automatically adhere all transactions on the relevant reference entity name, regardless of the reference obligation used on that transaction.

If firms do not want the relevant reference entity on a transaction to be renamed in accordance with the Succession Event, they should un-adhere that transaction. This un-adherence should be completed prior to August 28th, 2023, at 4:30 pm (Eastern Time), the Event Processing Start Date for the Succession Event. The TIW will only process the Succession Event for a Warehouse Record (as defined in the Operating Procedures) if neither party to the relevant transaction has un-adhered the transaction. Additional information can be found in the Product Training and Support section of the TIW in a Self-Study Guide: Practical Guide – Succession Events Processing.

Any questions or comments regarding this notice or the TIW in general should be directed to:
TIWSupport@dtcc.com.

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