



Important Notice

Fixed Income Clearing Corporation - GOV

GOV #:	GOV1534-23
Date:	September 1, 2023
To:	Government Securities Division Members
Category:	Service Updates
Subject:	Submission of Rule Filing SR-FICC-2023-013 – Amend the FICC Recovery and Wind-down Plan

On September 1, 2023, Fixed Income Clearing Corporation (“FICC”) filed a proposed rule change (SR-FICC-2023-013) (“Rule Filing”) with the Securities and Exchange Commission (“SEC”). The Rule Filing amends the FICC Recovery and Wind-down Plan (the “Plan”) to reflect business and product developments that have taken place since the time it was last amended, and makes certain changes to improve the clarity of the Plan and make other updates and technical changes, as described in the Rule Filing.

The full text of the Rule Filing may be obtained at dtcc.com/legal/sec-rule-filings. Comments on the Rule Filing may be emailed to FICC at RuleFilingAdmin@dtcc.com. Please include File No. SR-FICC-2023-013 on the subject line. Your comments may be forwarded to the SEC.

You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549. Electronic comments may be submitted using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>) or by sending an email to rule-comments@sec.gov. Please include File Number SR-FICC-2023-013 on the subject line. We request that you provide FICC with a copy of your comments.

Questions regarding the Rule Filing or this Important Notice may be addressed to RuleFilingAdmin@dtcc.com.

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