



Important Notice

Fixed Income Clearing Corporation - GOV

GOV #:	GOV1624-24
Date:	January 11, 2024
To:	All FICC Members and Limited Members
Category:	Cybersecurity Confirmation
From:	DTCC Operational and Technology Risk
Attention:	Managing Partner/Officer, Operations Officer, Chief Information Security Officer (CISO), Chief Risk Officer (CRO)
Subject:	FICC Information for the Confirmation of a Cybersecurity Program / Compliance to Rule File: SR-FICC-2019-005

The approved FICC Rule File ([SR-FICC-2019-005](#)) reads that each member is required to deliver a complete and updated Cybersecurity Confirmation at least every two years. As a Participant of FICC, your financial institution is subject to the aforementioned Rule File.

The Cybersecurity Confirmation affirms the implementation of your institution's written cybersecurity program. Your designated Control Officer will receive an electronic Confirmation Form on 01/18/2024. Your institution will have 180 days from this date to complete the electronic form. Printed confirmations or manually signed confirmation forms will not be accepted.

If your financial institution is using a third party to connect to FICC, your designated Control Officer remains responsible for completing the Cybersecurity Confirmation on behalf of the institution (not your third party).

Questions regarding this Important Notice may be submitted to your Relationship Manager or the DTCC Operational and Technology Risk team at ClientCyberProgram@dtcc.com

For more information about DTCC's Client Cybersecurity Program and for a complete list of accepted standards and/or frameworks please go to: <https://www.dtcc.com/cybersecurity/faqs>

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