



Important Notice
National Securities Clearing Corporation

A#:	9502
P&S #:	9075
Date:	10/11/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will change their name only, as detailed below:

First Trade Date, October 10, 2024:

From: Lincoln Financial Securities Corporation	No. 0211
To: Osaic FS, Inc.	No. 0211
From: Lincoln Financial Advisors Corporation	No. 0478
To: Osaic FA, Inc.	No. 0478

- The following firms will retire from all services, as detailed below:

Last Trade Date, October 8, 2024:

Fivepoint Capital Management, LLC	No. 1674
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Last Trade Date, October 11, 2024:

American Portfolios Financial Services, Inc	No. 9846
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- The following firm will no longer participate in Networking and MF Daily Price & Rate services, as detailed below:

Last Trade Date, October 14, 2024:

Global Trust Company/SSB-Barings	No. 4020
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- The following firm will no longer participate in DTCC Payment aXis services, as detailed below:

Last Trade Date, October 14, 2023:

Capital Investment Group, Inc.	No. 5664
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- The following firm will begin participation in MF Info Xchange services, as detailed below:
First Trade Date, October 10, 2024:
Franklin Distributors, LLC No. 5749
- The following firms will begin participation in the MF Profile service, as detailed below:
First Trade Date, October 09, 2024:
Ultimus Fund Distributors, LLC/Oak Harvest Funds No. 1291

First Trade Date, October 10, 2024:
Ultimus Fund Distributors, LLC/The Investment House Funds No. 5558

First Trade Date, October 11, 2024:
Dupree & Company, Inc. No. 6618

First Trade Date, October 14, 2024:
Arbor Court Capital, LLC/Tanaka Funds No. 5771
- The following firm will begin participation in Omni/Serv Transparency services, as detailed below:
First Trade Date, October 11, 2024:
Ultimus Fund Distributors, LLC/Oak Associates Funds No. 5761

First Trade Date, October 14, 2024:
SEI Investments Distri Company/Bishop Street Funds No. 5606
- The following firm will begin participation in the Insurance Processing services, as detailed below:
First Trade Date, October 10, 2024:
The Impact Partnership, LLC No. 1824

First Trade Date, October 15, 2024
Equitable Financial Life And Annuity Company No. 1778
- The following firms will begin participation in the Alternative Investment Product service, as detailed below:
First Trade Date, October 11, 2024:
JSQ Administrative Services LLC/Urban Catalyst Opportunity Fund I LLC No. 1I0R
JSQ Administrative Services LLC/Urban Catalyst Opportunity Zone Fund II No. 1I0S
JSQ Administrative Services LLC/Urban Catalyst Bridge Equity I LLC No. 1I0T
JSQ Administrative Services LLC/Urban Catalyst Industrial I DST No. 1I0Y
JSQ Administrative Services LLC/Uc Multifamily Equity I LLC No. 1I0Z
Phoenix American Financial Services, Inc./Apollo United Self Storage Institutional, LLC No. 1I13
TMF San Diego, LLC/Branzan Investment Advisors No. 1NW6
Inland Real Estate Investment Corporation/Minneapolis MSA Senior Living Portfolio II DST No. 1NW7

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, October 10, 2024:</u>							
First South Carolina Securities Inc	FSCC	6731	FSCC			✓	
ADM Securities LLC	IBKR	0534	ADMS	✓			
Tudor, Pickering & Co. Securities Inc.	RBCD	0235	PICK	✓	✓	✓	✓
<u>Add First Trade Date, October 8, 2024:</u>							
Parx Trading, LLC	SHMR	0695	PARX	✓			
<u>Add First Trade Date, October 14, 2024:</u>							
SPEEDROUTE LLC	CLST	9132	SRPT	✓			
<u>Add First Trade Date, November 1, 2024:</u>							
Carver Edison Capital, LLC	PFCL	0158	CECL	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.