



Important Notice

The Depository Trust Company

B #:	20890-24
Date:	October 24, 2024
To:	All Participants
Category:	Issuer Services Updates
From:	Issuer Services and Asset Services Product Management
Attention:	Operations Managers/Technology Managers/Cashiers/Officers
Subject:	Upcoming Enhancement to the Issuer Agent Portal (IAP) and Legal Notice System (LENS)

DTC is enhancing the Issuer Agent Portal (IAP) with the addition of a new input field called **Notice Title**. Input of Notice Title will be required for submission of all LENS notice types -- Legal notices, Tax notices and Transfer Agent notices for compliance with SEC Rule 17Ad-16.

This free form field should include Issuer Name, Security Name, Notice Type and Notice Description. It is important to note that some special characters are not allowed. The field will be displayed in the LENS user interface as the Notice Description on the Search Results page to help assist the LENS user with better identifying the contents of the document.

Input of the **Notice Title** field will be available for testing in DTC's PSE region beginning after the close of business on October 24, 2024. Production deployment is planned for November 7, 2024.

We encourage all agents, issuers, and trustees to test. Should you encounter any issues, or have any questions, please notify us at IAPTtestinginquiries@dtcc.com.