



Important Notice
National Securities Clearing Corporation

A #:	9504
P&S:	9077
Date:	OCTOBER 25, 2024
To:	ALL PARTICIPANTS
Category:	CLEARING SERVICES - EQUITIES
From:	PRODUCT MANAGEMENT
Attention:	MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT
Subject:	NSCC BEGINS COLLECTING FEES FOR NYSE ARCA

Effective November 2024, National Securities Clearing Corporation (“NSCC”) will begin collecting fees through its consolidated settlement system for NYSE ARCA LLC unless a firm has previously instructed NYSE ARCA to be excluded from this process.

NYSE ARCA fees will be collected under the following Settlement Category Code(s):

- 213 - NYSE ARCA Membership
- 214 - NYSE ARCA Trans Fees

If a scheduled collection date falls on a non-NSCC settlement day, NSCC will collect the fees on the following settlement day.

For inquiries regarding your fee amount, collection dates, or to opt out of direct debit, please contact your NYSE representative.

For questions about this Important Notice, please contact your Relationship Manager.

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