DTCC

Important Notice

National Securities Clearing Corporation

A#:	**9498**
P&S:	**9071**
Date:	**October 3, 2024**
To:	**ALL MEMBERS AND LIMITED MEMBERS**
From:	**WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS**
Attention:	**MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT**
Subject:	**Retirement Plan Reporting (RPR) - New Plan Type**

Effective **Monday, November 25**, **2024**, National Securities Clearing Corporation (NSCC) will implement a new 'Plan Type' value (**12 = SIMPLE IRA Group Trust)** to **Retirement Plan Reporting (RPR)**. The new value will allow clients to systematically provide retirement plan-level reporting for SIMPLE IRA Group Trust plans.

Retirement Plan Header Record (B/F/T47 Sequence 2)

Field Name	Start	End	Type	Description	Opt/ Req
Plan Type	90	91	A/N	01 = 401K 02 = Individual K 03 = 403B 04 = Profit Sharing 05 = Money Purchase Plan 06 = 457 07 = Deferred Compensation 08 = Defined Benefit 09 = Cash Balance 10 = Taft-Hartley 11 = Multiple Employer Plans **12 = SIMPLE IRA Group Trust**	R

TESTING

Effective **Monday, November 4**, **2024**, members can begin testing in the Participant Services Environment (PSE) region. Clients are requested to test and must contact their Relationship Manager before testing if access to the PSE region has not been previously established.

DOCUMENTATION

The updated Retirement Plan Reporting (RPR) records will be available on the DTCC Learning Center under Mutual Fund Services on or before **Monday**, **October 21, 2024.**

Questions and comments regarding this Important Notice may be directed to your Relationship Manager or Wealth Management Services at **212-855-8877** or **WMSconnect@dtcc.com**.

Therese Leahy
Product Manager

DTCC Wealth Management Services