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| <b>B #:</b>       | 20976-24   |
| <b>Date:</b>      | October 30, 2024   |
| <b>To:</b>        | FAST Transfer Agents   |
| <b>Category:</b>  | Corporate Trust Services   |
| <b>From:</b>      | DTC Asset Services Product Management Team                                       |
| <b>Attention:</b> | Officers / Operations Managers / Operations Users / Business Continuity Managers |
| <b>Subject:</b>   | SPA Maker Checker Functionality – Entitlement Role                               |

In Important Notice [B#19104-23](#) (October 13, 2023), DTC introduced the Securities Processing Application (“SPA”) Deposit and Withdrawal at Custodian (“DWAC”) Transaction Management and DWAC Centralized Billing via the SPA system.

DTC is now introducing an enhancement to the DWAC Transaction Management functionality to allow for Maker Checker capability through the DTCC Portal at MYDTCC.com.

Maker Checker functionality provides FAST Transfer Agents with a second level of authorization to “approve” or “reject” DWAC transactions submitted by Participants for processing. A Maker approves or rejects a DWAC transaction, which is then reviewed by a Checker who approves or rejects it for processing.

FAST Transfer Agents can optionally use the Maker Checker feature and control its functionality at the account level. A new Supervisor entitlement role will allow FAST Transfer Agents to enable or disable Maker Checker functionality for all account users through an online function, once provisioned by a Super Access Coordinator (“SAC”) with this new entitlement.

The following entitlement is available in SPA (see chart below for details).

- SPA Transfer Agent Supervisor

| <b>New SPA Role</b>           | <b>SPA Description</b>  | <b>SPA Navigation</b>   | <b>PTS Role</b> | <b>PBS Role</b> |
|-------------------------------|---|---|-----------------|-----------------|
| SPA Transfer Agent Supervisor | This role allows a Transfer Agent to enable configuration updates at the account level. | MyDTCC.com>Securities Processing Application>Account Configuration>Manage Account | N/A             | N/A             |

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User's access and entitlements to SPA must be provisioned through their respective SAC. SACs can email [DTCIntegration@dtcc.com](mailto:DTCIntegration@dtcc.com) for questions about provisioning access through the DTCC Portal within the Customer Registration System ("CRS").

Please direct any questions you may have to Joyce McCabe at [jmcabe@dtcc.com](mailto:jmcabe@dtcc.com).