



Important Notice
National Securities Clearing Corporation

A #:	a9520
P&S:	p&s9093
Date:	NOVEMBER 21, 2024
To:	ALL MEMBERS AND LIMITED MEMBERS
Category:	DTCC INSURANCE AND RETIREMENT SERVICES
From:	WEALTH MANAGEMENT SERVICES – INSURANCE & RETIREMENT SERVICES
Attention:	MANAGER INSURANCE OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	LAUNCH OF ACTIVITY STATUS TRACKING

DTCC's Insurance & Retirement Services ("I&RS") is announcing the launch of Activity Status Tracking (ACT), a new service, a real-time status request and response API message service. ACT will offer two separate APIs to track annuity new business through the application review activity stages.

Benefits of Activity Status Tracking include:

- Delivers consistent, real-time activity status messaging for full pending book of annuity applications business regardless of method of submission (e.g, electronic, paper) through the underwriting process across platform and planning tools.
- Tracks specific statuses within the underwriting stages including suitability, document review, producer credentials, and funding.
- Offers governance with a single implementation across users to provide oversight and standardization communication.
- Brings resiliency and stability with decreased processing outages and independent recovery.
- Mitigates risk by eliminating management of multiple endpoints and connectivity.
- Provides flexible data access options via turnkey APIs.
- Supports positive client experience and industry modernization initiatives.

In the evening of **Thursday, November 21, 2024**, the Activity Status Tracking service will be offered to all I&RS members and their service providers.

Questions regarding Activity Status Tracking or this Important Notice may be directed to your WMS Relationship Manager.

The Activity Status Tracking product page can be found below: [Activity Status Tracking Product Page](#)

Insurance & Retirement Services Product Management
DTCC Wealth Management Services

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