

Important NoticeThe Depository Trust Company

B #:	21129-24
Date:	12/5/24
To:	DTC Participants and ClaimConnect Users
Category:	Corporate Actions Processing
From:	DTCC
Attention:	DTC Participants and ClaimConnect Users
Subject:	ClaimConnect Application Enhancement – Claim Upload – Production Deployment Date – December 6, 2024

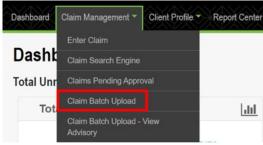
On November 26, 2024, DTC published Important Notice #21083-24 announcing an upcoming ClaimConnect enhancement of the claim upload. The new claim upload feature will allow users to create and approve multiple claims at one time. This enhancement is the direct result of client feedback on the ClaimConnect service. The ClaimConnect claim upload feature will be available in production upon the opening of business on **December 6, 2024**.

ClaimConnect can be accessed through MyDTCC: https://portal.dtcc.com/

Claim Upload Key Attributes:

The upload function will allow users to bulk submit and affirm through CSV template.

The Claim Batch Upload feature can be found under the Claim Management main menu.



- Users can submit up to 1,000 claims per CSV file for both claim creation and affirmation at one time.
- The upload contains the same fields mandatory and optional on the single claim submission form on the UI.
- Each file will be systemically checked for field value validation before the claims are processed by the ClaimConnect application to ensure they are submitted accurately.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription form.php.

- Claims that do not pass the field value validation will appear as a reject on the application.
 These rejected claims will need to be corrected and reuploaded. This can be done in one of two ways:
 - o "Reject' all files and resubmit the modified file OR
 - 'Submit' the approved records and upload a new file for all the rejected events.
- View your submission progress while the claims are loaded into the application.
- Uploaded claims will be processed in near-real-time for matching purposes while claims from the file are still being loaded into the system.
- Modification and cancellation of claims is currently not supported via the upload function. All claims requiring modification / cancellation will require individual, manual intervention.
- Accepted debits will be available for approval if above threshold. Otherwise, they will have matched or be available for affirmation from a Contra party.

The claim upload feature will continue to be available for testing in ClaimConnect in DTCC's PSE test environment to help ensure user comfortability and for training purposes. MyDTCC in PSE is found at https://portalu.dtcc.com.

Documentation and Training Materials:

Additional information for the new claim upload feature can be found on DTCC's website and DTCC Learning.

- The ClaimConnect: Batch Upload user guide is available at dtcclearning.com.
- Help can be found on the ClaimConnect application via the help icons.

DTCC will continue to reach out to clients about upcoming working groups and coordinated industry testing in January 2025.

Questions about the new claim upload or the ClaimConnect service can be directed to your Relationship Manager.