DTCC

Important Notice
National Securities Clearing Corporation

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Date:	May 20, 2024
To:	National Securities Clearing Corporation Participants
Category:	Service Updates
From:	Gregory Gist, Managing Director, Business Continuity and Resilience
Attention:	Executive Management, CIO, CTO, Network Management
Subject:	NSCC Business Continuity and Disaster Recovery – Testing Schedule

The National Securities Clearing Corporation ("NSCC") will conduct the annual test of its business continuity and disaster recovery plans in compliance with its obligations under Regulation Systems Compliance and Integrity (i.e. Reg SCI DR testing).

NSCC Participants are advised that this Reg SCI DR testing is scheduled to take place on August 17, 2024, September 14, 2024, and October 05, 2024. During these testing weekends, NSCC's systems will be unavailable for processing between the hours of 5:00AM (ET) Saturday and 6:00AM (ET) Sunday. Regular processing and access will resume immediately following the exercise.

Select Participants have been designated by NSCC (based on service usage) to take part in this testing. They have been notified accordingly. Please note that the August 17 test is an internal only test and will not be a Participant test. The September 14 test is a pre-test and optional for designated Participants.

Designated NSCC Participants are also required to test connectivity between their alternate locations and DTCC data centers at least once a year. This can be performed during the planned DR tests or alternatively, on a separately scheduled weekend. Scheduling of a backup connectivity test on an alternative weekend can be arranged by contacting DTCC (at least three weeks in advance of a planned test) by emailing a request to clientconnect@dtcc.com. These tests should be completed by October 31, 2024 to avoid year-end freezes and year-end processing.

Recognizing the criticality of this effort and the importance of regular testing, pursuant to NSCC's Rules, NSCC will impose a fine on those designated NSCC Members who, having been notified that they were required to test, fail to complete mandatory test requirements.

Note that any NSCC Member not required to conduct a network connectivity test is encouraged to do so, and if such test is successfully completed, written notification of such action will be provided by DTCC. NSCC does not entertain requests for voluntary Reg SCI DR test participation.

These tests will continue to take place on an annual basis. We will share details about 2025 scheduled testing as they become available.

If you have any questions, please contact your DTCC Relationship Manager.

DTCC Public (White)