



Important Notice
National Securities Clearing Corporation

A #:	9471
P&S:	9044
Date:	August 29, 2024
To:	ALL MEMBERS AND LIMITED MEMBERS
Category:	MUTUAL FUNDS SERVICES
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MF Info Xchange Service Enhancements

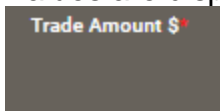
In addition to the Liquidity Fee notifications announced in important notice a9443 (dated June 17, 2024), effective **September 27, 2024**, National Securities Clearing Corporation (NSCC) will also implement the following enhancements to **MF Info Xchange**.

Display Dollar Amount fields with commas

In order to further reduce risks associated with data entry and interpretation, the dollar amount fields in the Web User Interface will be updated to display commas.

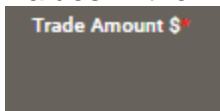
Current Functionality:

Values are displayed without commas.



Enhanced Functionality:

Values in the Web User Interface will be auto-formatted to display commas.



DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Notes:

- Values can be entered with or without commas, however the UI will auto-format the value to include commas.
- The file upload template for the “Large Trade” Notification will support values with or without commas. Upon uploading the file, the “Trade Amount \$” value will be displayed on the screen with the commas.

View/Update Notifications

Event ID: 1002580 Event Type: Large Trade Notification Distribution Status: Revised-Pending Distribution

Step 1 Step 2 Step 3 Step 4

Fund DTCC Participant Number:

Security Issue ID Lookup:

Download **Upload**

NSDC Control Number	Anticipated Trade Date	Anticipated Settlement	Anticipated Settlement - Other	Security Issue ID	Ticker	Fund Name	Fund DTCC Participant Number	Fund Account Number	EIN Number	Account Type	Account Representative Number	Account Representative Name	Trade Method	Order Type	Buy Side Security Issue ID (voluntary share class exchange)
<input type="text" value=""/>	07/02/2024	T+1	<input type="text" value=""/>	000003103	<input type="text" value=""/>	TEST	00005119	<input type="text" value=""/>	<input type="text" value=""/>	Select a Value	<input type="text" value=""/>	<input type="text" value=""/>	Fund/SERV (non-DCC&S)	Initial Purchase	Security Issue ID Lookup: <input type="text" value=""/>

- Values extracted from MF Profile Security will also be formatted with the commas on the screen.

The following fields will be updated as part of this enhancement:

- “Large Trade” Notification
 - “Trade Amount \$”
- “Large Trade Threshold Change” Notification
 - “Large Trade Dollar Threshold Amount (Current Threshold)”
 - “Large Trade Dollar Threshold Amount (New Threshold)”
- “Purchase Maximum Change” Notification
 - “From Maximum Value”
 - “To Maximum Value”
- “Purchase Minimum Change” Notification
 - “From Minimum Value”
 - “To Minimum Value”
- “Fee/Breakpoint Change” Notification
 - “12b-1 Fee current: Start at (breakpoint)”
 - “12b-1 Fee new: Start at (breakpoint)”
 - “Redemption Fee Amount (current schedule)”
 - “Redemption Fee Amount (new schedule)”
 - “Breakpoint Minimum Account Value (current schedule)”
 - “Breakpoint Maximum Account Value (current schedule)”
 - “Breakpoint Minimum Account Value (new schedule)”
 - “Breakpoint Maximum Account Value (new schedule)”
 - “CDSC Schedule Lower Limit (current schedule)”
 - “CDSC Schedule Upper Limit (current schedule)”
 - “CDSC Schedule Lower Limit (new schedule)”
 - “CDSC Schedule Upper Limit (new schedule)”

PLEASE NOTE: In order to perform code migration, MF Info Xchange will not be available in production on September 26, 2024, between 8pm-10pm ET.

TESTING

Effective September 6, 2024, clients can begin testing in the Participant Services Environment (PSE).

Clients are strongly encouraged to test and must contact their Relationship Manager before testing begins if access to PSE has not been previously established.

DOCUMENTATION

Updated documentation will be available on the DTCC Learning website on or about September 13, 2024.

Clients can find more information on MF Info Xchange at <http://www.dtcc.com/wealth-management-services/mutual-fund-services/mf-info-xchange>

Questions and comments regarding this Important Notice may be directed to your Relationship Manager or Wealth Management Services at **212-855-8877** or WMSconnect@dtcc.com.

Yana Granovskiy
Product Manager

DTCC Wealth Management Services