



B #:	21540-25
Date:	February 14, 2025
To:	FAST Transfer Agents
Category:	Corporate Trust Services
From:	DTC Asset Services Product Management
Attention:	Officers / Operations Managers / Business Continuity Managers
Subject:	User Roles Required for Testing and Production Readiness for DRS Transaction Processing and DRS Reject Billing (including API adoption)

On November 21, 2024, through Important Notice B#21022-24, The Depository Trust Company (“DTC”) announced the next phase of its Direct Registration System (“DRS”) Transformation. The transformation includes the retirement of PTS/PBS functions, CCF files, as well as the implementation strategy and schedule. These changes are part of DTC’s multi-year, multi-phase Securities Processing Transformation Program.

The transformation of the DRS system, pending regulatory approval, progresses through a phased rollout of component functionalities at scheduled intervals.

The Profile Deposits, DRS Reject Billing and Profile Deposit API functions are now available in the Participant Simulated Environment (“PSE”) test region of the Securities Processing Application (“SPA”) system.

Access and entitlements to the SPA system must be provisioned by the user’s designated Super Access Coordinator (“SAC”). If SACs have any questions about how to provision access through the DTCC Portal in the Customer Registration System (CRS), they can email DTCCIntegration@dtcc.com for assistance.

The following DRS entitlements are listed in SPA (see chart below for details)

- SPA DRS Profile Deposit and DO Limited Participant Transfer Agent Inquiry
- SPA DRS Profile Deposit and DO Limited Participant Transfer Agent Update
- SPA DRS Profile Deposit and DO Limited Participant Transfer Agent API
- SPA DRS Fee Transfer Agent Inquiry
- SPA DRS Fee Transfer Agent Update
- SPA DRS Fee Transfer Agent Approver

SACs should provision access for their Users (Operators) to both DTC’s PSE (Test) and Production regions.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

New SPA Role	SPA Description	SPA Navigation	PTS Role	PBS Role
SPA DRS Profile Deposit Limited Participant Transfer Agent Inquiry	This role allows a Transfer Agent (acting as a Limited Participant) user to view DRS Profile Deposit and Deliver Order instructions data within the Securities Processing application.	MyDTCC.com>Securities Processing Application>Transactions>DRD>Inquiry	DRSI	Direct Registration System Inquiry
SPA DRS Profile Deposit Limited Participant Transfer Agent Update	This role allows a Transfer Agent (acting as a Limited Participant) user to perform DRS Profile Deposit updates (including Approving or Rejecting instructions) and Deliver Order updates (including Creating or Canceling instructions) within the Securities Processing application.	MyDTCC.com>Securities Processing Application>Transactions>DRS>Pending Approval	DRS P and DRS (DO)	Direct Registration System Profile Modification (Agent)
SPA DRS Profile Deposit and DO Limited Participant Transfer Agent API	This role allows a Limited Participant Transfer Agent Robot Persona to perform Inquiry and Update operations on DRS Profile Deposit and Deliver Order instruction via APIs within the Securities Processing application.	New near Real-Time B2B APIs accessible via the DTCC API Marketplace	N/A	N/A
SPA DRS Fee Transfer Agent Inquiry	Users (FAST Transfer Agent) that request this role will be allowed to View DRS Fees within Securities Processing application.	MyDTCC.com>Securities Processing Application>Billing/Fee>Centralized Billing>Billing Fee Listing	N/A	N/A

SPA DRS Fee Transfer Agent Update	Users (FAST Transfer Agent) that request this role will be allowed to create and maintain DRS Fees within Securities Processing application.	MyDTCC.com>Securities Processing Application>Billing/Fee>Centralized Billing>Billing Fee Listing	N/A	N/A
SPA DRS Fee Transfer Agent Approver	Users (FAST Transfer Agent) that request this role will be allowed to approve DRS Fees within Securities Processing application.	MyDTCC.com>Securities Processing Application>Billing/Fee>Centralized Billing>Billing Fee Listing	N/A	N/A

For information regarding DRS Profile Deposit API adoption, visit dtcc.com and navigate to Resources > API Marketplace > Request Information > DRS Profile Deposit, enter your contact details and click the "Contact Sales" button to open a case with DTCC Integration, who will then contact you to begin the process.

Regarding, SPA DRS Profile Deposit Limited Participant Transfer Agent Update, this function will combine Profile Approval and DRS (DO) into a single action on SPA, which (as previously communicated) will be available in PSE in our March 2025 release. Please provision this entitlement in anticipation of this future release.

Detailed descriptions of new user access roles and entitlements will be announced before each component functionality PSE date through separate Important Notices. After provisioning, functionality testing for user access entitlements will be available with each component rollout and will continue until the go live. Firms must enable users at each component interval to ensure a smooth onboarding experience.

Please direct any questions you may have to your Relationship Manager.