



Important Notice
The Depository Trust & Clearing Corporation

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| B #: | z0279 |
| Date: | February 28, 2025 |
| To: | All Clients |
| Category: | Service Updates |
| From: | Enterprise Resiliency Office |
| Attention: | All Clients |
| Subject: | DTCC Resiliency Testing |

DTCC's Resiliency Strategy focuses on dual and equally important objectives of both strengthening our current resiliency capabilities while in parallel building towards a modern resilient architecture. DTCC has two data centers that currently operate as primary and backup. The primary data center is located in Brooklyn, NY and the backup data center is located in Dallas, Texas.

In 2025, DTCC is evolving its disaster recovery capabilities and enabling the ability for all production processing to operate live out of the Dallas data center through periodic rotation between the data centers. To date, validation of the ability for Dallas to operate production processing is through simulations of planned and unplanned events (see list of current simulations and exercises below). To demonstrate repeatable and sustainable resiliency, a full swing of the data centers will be executed periodically beginning in January 2026 with the initial swing from Brooklyn to Dallas and the return to Brooklyn in March 2026.

In preparation for this, DTCC will be establishing multiple tests in Q3 and Q4 2025 to exercise this capability and to provide clients with the option to connect to DTCC to validate functionality. Based on client feedback, this will be supplemental to the scope of the annual Reg SCI Industry Test with added functionality for client testing, including business processes supporting Equities Clearance & Settlement, Fixed Income Clearing, Institutional Trade Processing, Asset Services and Wealth Management Services.

DTCC will be producing a more detailed testing plan in March, inclusive of the various test dates and business processes which will be available for testing. At that time, DTCC will begin to solicit participation of clients and critical service providers for the various tests.

Please contact your Relationship Manager if you have any questions.

Current simulations and exercises include:

- Client Network Rotation - DTCC rotates its client networks between the Brooklyn and Dallas data centers every 3 months, which routes client input and output to our Dallas data center. Execution of the rotation is transparent to clients.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

- Annual Reg SCI Industry Test - DTC, FICC, NSCC and ITP Matching designate clients to test with these entities in the annual Reg SCI Industry Test. Clients have the option to connect from the primary or alternate site to DTCC's Dallas data center.
- Client Connectivity Testing - Clients that do not participate in the annual Reg SCI Industry Test are required to schedule a backup test annually to validate connectivity from the client backup site to DTCC's Dallas data center