



Important Notice
National Securities Clearing Corporation

A #:	9646
P&S:	9219
Date:	SEPTEMBER 19, 2025
To:	ALL ACATS USERS
Category:	CLEARING SERVICES - EQUITIES
From:	DTCC PRODUCT MANAGEMENT
Attention:	DIRECTOR OF OPERATIONS, ACCOUNT TRANSFERS DEPARTMENT, SETTLEMENT DEPARTMENT, MUTUAL FUND OPERATIONS
Subject:	ACATS SETTLE PREP REMOVAL / MUTUAL FUND DAY 2 ACKNOWLEDGEMENT REMOVAL – REGULATORY APPROVAL NOTICE AND PRODUCTION REMINDER!

This is a follow-up to Important Notice [a9463](#) (dated 7/31/24) and [a9574](#) (dated 3/25/25) announcing new enhancements to the Automated Customer Account Transfer Service (ACATS). Enhancements will include: 1) the removal of Settle Prep (status 300), and 2) the removal of the ACATS Fund/SERV Day 2 acknowledgement. This notice serves as a final reminder and a confirmation of regulatory approval of this upcoming production release. Requirements can be found in notice [a9574](#) or via [dtcclearing.com](https://www.dtcclearing.com).

[NSCC Rule 50](#) was changed to accommodate the new one day settling process for all assets and transfer types in ACATS. Click on the following links for reference to the ruling and approval notice.

Regulatory Rule Filing
[SR-NSCC-2025-011](#)

SEC Approval Notice
[Release No. 34-103890](#)
or
<https://www.sec.gov/files/rules/sro/nsccl/2025/34-103890.pdf>

Production Release Dates:

ACATS Settle Prep Removal - Friday, October 17, 2025

This date is the first day in which a transfer will not stage to 300-settle prep status at the end of the day.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <https://www.dtcc.com/rss-feeds>.

Fund/SERV Day 2 Acknowledgement Removal - Monday, October 20, 2025

This date is the first day on which funds/transfer agents would not receive an 080-reminder record or be permitted to send a day 2 acknowledgement. Any day 2 acknowledgement will be rejected starting on this date and ACATS will deem it a no response system purge. This is also the first date on which firms will not receive a pending acknowledgement in the Fund/SERV statistical report.

Documentation:

An ACATS Settle Prep/Pend Day Removal Enhancement specifications guide is available at dtcclearning.com. This includes the requirement and layout changes, scenario flows, transition information for pending ACATS and baseline test recommendations.

If you have any questions regarding this notice, please reach out to your Relationship Manager.

Craig Gurien
Product Manager, ACATS