



Important Notice
National Securities Clearing Corporation

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| A#: | 9706 |
| P&S#: | 9279 |
| Date: | JANUARY 13, 2026 |
| To: | ALL ACATS PARTICIPANTS |
| From: | ACATS PRODUCT MANAGEMENT, DTCC EQUITIES CLEARING |
| Attention: | DIRECTOR OF OPERATIONS, ACCOUNT TRANSFERS DEPARTMENT, MUTUAL FUND OPERATIONS, P&S DEPARTMENT |
| Subject: | ACATS CLIENT INTERFACE LEGACY FILES DECOMMISSION – REMINDER! |

This is a follow-up to NSCC Important Notice [a9259](#) (January 26, 2023), [a9347](#) (October 12, 2023) and [a9549](#) (January 22, 2025). NSCC’s Automated Customer Account Transfer Service (ACATS) introduced new inbound and outbound data messaging capabilities which have been available in production since October 2023. As part of the implementation of the new client interfaces, a plan has been established to decommission the ACATS legacy fixed and variable formats.

The date for the legacy decommission is October 1, 2026.

All fixed and variable formats for both input and output will be decommissioned on this date. Firms not converted to the new client interfaces by this date will no longer be able to electronically send or receive data through ACATS except for manual submission and receipt via the DTCC portal. There will be no further extensions to the decommission date.

In addition, no new setups of the legacy fixed and variable files are currently permitted.

Note: All new client interface modernization messaging is in production. Until the decommission date, DTCC will support both legacy files and the new API/MQ interfaces for test and production. It is highly recommended that firms strive to be fully migrated well ahead of the new decommission date. This will allow for a longer window in which you can run both legacy and new messaging in parallel if desired.

About the new Client Interfaces

The new message capabilities allow firms to submit and receive ACATS transactions using JSON (JavaScript Object Notation) message formats via either REST API (for inbound submissions from firms to ACATS) or MQ (for inbound submissions to ACATS and/or outbound from ACATS to firms). The new messaging capabilities will replace the existing suite of SFTP, NDM and web upload communication protocols currently used with legacy fixed and variable formats. Firms that use the web

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user interface transaction input screens will not be impacted as part of this decommission. Until the decommission, the new messaging and legacy data exchange will all be supported simultaneously within the existing ACATS workflow.

Onboarding:

For full access to the API Marketplace and to start the API/MQ onboarding process, please contact the NSCC Integration Team at nscintegration@dtcc.com. The NSCC Integration Team will be the primary point of contact in assisting your firm through the onboarding and testing process. Please do not attempt to set up or test the new client interfaces without contacting this team first.

Working Groups:

There is a Client Interface Modernization Industry Working Group that meets bi-weekly on Wednesdays at 10 am et (subject to change). This forum allows opportunity for DTCC to provide updates to the industry and a forum for firms to ask questions and share implementation information. You may reach out to the ACATS Product Manager or Relationship Manger to request inclusion in this group.

Documentation:

All information regarding this initiative can be reviewed at:
<https://dtcclearning.com/products-and-services/equities-clearing/acats/acats-projects.html>

Website registration is required for access to this documentation.

Additional Information:

A copy of this Important Notice can be obtained from the website of NSCC's parent corporation, The Depository Trust & Clearing Corporation, at <http://www.dtcc.com>. If you have any questions regarding this notice, please contact your DTCC Relationship Manager.

Craig Gurien,
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