



**Important Notice**  
National Securities Clearing Corporation

<b>A #:</b>	9730
<b>P&amp;S:</b>	9303
<b>Date:</b>	03/16/2026
<b>To:</b>	ALL PARTICIPANTS
<b>Category:</b>	CLEARING SERVICES – EQUITIES
<b>From:</b>	NSCC PRODUCT MANAGEMENT, EQUITIES CLEARING
<b>Attention:</b>	MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER
<b>Subject:</b>	UNIVERSAL TRADE CAPTURE (UTC) – REPORTING ENHANCEMENTS

As part of DTCC's ongoing modernization efforts, NSCC's UTC will offer trade capture reporting that will deliver direct and reliable access to data, driven by the submitting firm's MPID.

This new service offering enables full-service NSCC members that are introducing/executing broker dealers, clearing activity through another full-service member, to receive direct UTC trade capture output for transactions associated with the firms respective MPIDs, subject to the authorization of the clearing member firm.

This notice outlines impacted participants, key milestones, and required preparatory action.

**Applicability:**

- Full-service NSCC member firms:
  - Introducing/executing broker dealers clearing activity through another full-service member firm
  - Firms clearing activity on behalf of another member firm

Firms that do not plan to subscribe to this new offering are not required to take any action.

**Timeline**

- PSE (Client Testing) Target: 30<sup>th</sup> April 2026
- Production Target: 12<sup>th</sup> June 2026

Implementation of this new offering is subject to regulatory approval, please refer to [A9726](#) for additional information on the filing.

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## Member Impact

- There are no changes to existing UTC input and outbound messages flow as part of this enhancement
- Firms opting into MPID Reporting should onboard to UTC output connectivity using one of the supported protocols:
  - FIX
  - MQ

Firms wishing to subscribe to this new service should contact the NSCC Integration team at [NSCCIntegration@dtcc.com](mailto:NSCCIntegration@dtcc.com) for detailed next steps, including onboarding and required documentation.

For additional questions regarding this Important Notice please contact your DTCC Relationship Manager or SIFMU Integration.