



Important Notice
The Depository Trust Company

B #:	B23993-26
Date:	03/26/2026
To:	DTC Clients
Category:	Reorganization, Operations
From:	DTC Product Management
Attention:	Corporate Actions Manager / Managing Director / Cashier
Subject:	SMART/Track for Corporate Actions Liability Notices Service Enhancement

As referenced in Important Notice B23872-26, DTC is implementing two enhancements to the Smart Track for Corporate Action Liability Notices service. Note the change on proposed scheduled:

- The enhancement to allow for “potential” and “final” Notice Designation will proceed as planned this evening, March 26th 2026 for Friday, March 27th activity.
- The enhancement to restore achived notice that have extended epiration/protect dates has been rescheduled to April 16th, 2026.

Screenshots for the potential/final Notice Designation enhancement can be found in the Appendix. Note that Notice Designation will be a mandatory field going forward and will appear in the following screens:

- Transmitting a single/multiple notice(s)
- Viewing a transmitted notice
- Viewing notice details
- Viewing a received notice
- Viewing in edit screen
- Switching from “Potential” to “Final”

Questions regarding this Important Notice can be directed to your relationship manager.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

APPENDIX

Transmit Single/Multiple Notice

Offer Information			
Offer Type:	a *	Envelope ID:	N/A
Offer Terms:	a *	Offeror:	
Contra CUSIP ID:	N/A		
Expiration Date:	03/26/2026 *	Expiration Time:	08:00:00 *
DTC Expiration Date:	03/26/2026 *	DTC Expiration Time:	08:00:00 *
Protect Expiration Date:		Protect Expiration Time:	
DTC Protect Expiration Date:		DTC Protect Expiration Time:	
DTC Consent Expiration Date:			
Return Date:	03/26/2026 *	Return Time:	08:00:00 *
Transaction Date:	03/26/2026 *		
Settlement Date:		Notice Designation:	< Select Notice Designation > *
Transaction Quantity:	1500 *	Multiple Transactions:	Potential *
Liability Quantity:	100 *	Type of Transaction:	Final *

Note: All dates are in the format mm/dd/ccyy. All times are New York standard times.

View Transmitted Notice

Notice List												
Security ID	Security Identifier Type	Security Desc	Counterparty ID	Reduced Quantity	Notice Designation	Notice Status	Processing Status	Notice Transmitted Date	Liability Quantity	DTC Expiration Date	DTC Protect Expiration Date	
123456782	CUSIP	TEST CUSIP	10	No	Potential	Open	Pending	03/26/2026	100	03/26/2026		

View Notice Details

General Security Information			
Security ID:	123456782	Security Identifier Type:	CUSIP
Security Description:	TEST CUSIP		

Offer Information			
Offer Type:	a	Envelope ID:	N/A
Offer Terms:	a	Offeror:	
Contra CUSIP ID:	N/A		
Expiration Date:	03/26/2026	Expiration Time:	08:00 AM
DTC Expiration Date:	03/26/2026	DTC Expiration Time:	08:00 AM
Protect Expiration Date:		Protect Expiration Time:	
DTC Protect Expiration Date:		DTC Protect Expiration Time:	
DTC Consent Expiration Date:			
Return Date:	03/26/2026	Return Time:	08:00 AM
Transaction Date:	03/26/2026	Notice Designation:	Potential
Settlement Date:		Multiple Transactions:	No
Transaction Quantity:	1,500	Type of Transaction:	Other
Liability Quantity:	100		

Note: All times are New York standard times.

View Received Notice

Notice List												
Security ID	Security Identifier Type	Security Desc	Sender Party ID	Reduced Quantity	Notice Designation	Notice Status	Processing Status	Notice Transmitted Date	Liability Quantity	DTC Expiration Date	DTC Protect Expiration Date	
	CUSIP			No	Potential	Open	Pending		2,500		02/17/2026	

View in Edit Screen

General Security Information			
Security ID:	123456782	Security Identifier Type:	CUSIP
Security Description:	TEST CUSIP		
Offer Information * = Required			
Offer Type:	a	Envelope ID:	N/A
Offer Terms:	a	Offeror:	
Contra CUSIP ID:	N/A		
Expiration Date:	03/26/2026	Expiration Time:	08:00 AM
DTC Expiration Date:	03/26/2026	DTC Expiration Time:	08:00 AM
Protect Expiration Date:		Protect Expiration Time:	
DTC Protect Expiration Date:		DTC Protect Expiration Time:	
DTC Consent Expiration Date:			
Return Date:	03/26/2026	Return Time:	08:00 AM
Transaction Date:	03/26/2026		
Settlement Date:		Notice Designation:	Potential *
Transaction Quantity:	1,500	Multiple Transactions:	< Select Notice Designation >
Liability Quantity:	100 *	Type of Transaction:	Potential
			Final

Note: All times are New York standard times.

Switching from Potential to Final

General Security Information			
Security ID:	123456782	Security Identifier Type:	CUSIP
Security Description:	TEST CUSIP		
Offer Information * = Required			
Offer Type:	a	Envelope ID:	N/A
Offer Terms:	a	Offeror:	
Contra CUSIP ID:	N/A		
Expiration Date:	03/26/2026	Expiration Time:	08:00 AM
DTC Expiration Date:	03/26/2026	DTC Expiration Time:	08:00 AM
Protect Expiration Date:		Protect Expiration Time:	
DTC Protect Expiration Date:		DTC Protect Expiration Time:	
DTC Consent Expiration Date:			
Return Date:	03/26/2026	Return Time:	08:00 AM
Transaction Date:	03/26/2026		
Settlement Date:		Notice Designation:	Final *
Transaction Quantity:	1,500	Multiple Transactions:	No
Liability Quantity:	100 *	Type of Transaction:	Other

Note: All times are New York standard times.

🌐 Edit Notice - Work - Microsoft Edge

📄 about:blank

Are you sure you want to update this notice to Final?