



Important Notice
National Securities Clearing Corporation

A #:	9745
P&S:	9318
Date:	04/02/2026
To:	ALL PARTICIPANTS
From:	PRODUCT MANAGEMENT, EQUITIES CLEARANCE
Attention:	MANAGING PARTNER/OFFICER; OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; MANAGER DATA PROCESSING; CASHIER
Subject:	ETF Options Clearing Connect Enhancement: SEC Rule Filing Approval and Go-Live date

NSCC is building out functionality to centrally clear ETFs that contain options as underlying components. This effort creates a dedicated link between NSCC and the Options Clearing Corporation (OCC) to facilitate the clearance of options components.

The SEC Proposed Rule Change Concerning the Clearing of Exchange-Traded Funds with Options as Underlying Components SR-NSCC-2026-001 was **approved** on **March 12, 2026**. [[Release No. 34-104981](#); [File No. SR-NSCC-2026-001](#)]

The corresponding functionality will be released into the **production** environment on **May 15, 2026**.

The updated documentation surrounding this enhancement can be found in the [ETF Release](#) section in the Learning Center.

If you wish to be included in the broader ETF Industry Working Group (IWG), please contact your DTCC Relationship Manager.

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