



IMPORTANT NOTICE

National Securities Clearing Corporation

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Date:	July 21, 2026
To:	National Securities Clearing Corporation Designated Firms
Category:	Service Updates
From:	Business Continuity and Resilience
Attention:	Executive Management, CIO, CTO, Network Management
Subject:	NSCC Business Continuity and Disaster Recovery – Testing Schedule

The National Securities Clearing Corporation ("NSCC") is providing an update regarding the 2026 Regulation Systems Compliance and Integrity ("Reg SCI") testing program and previously communicated requirements on participating firms.

Update to 2026 Reg SCI Rule 1004(b) Testing Requirements

As previously communicated, the industry-wide Reg SCI exercise remains scheduled for Saturday, October 24, 2026. However, the participation requirements for designated NSCC firms have changed.

NSCC will conduct a planned data center rotation event on October 17, 2026, transitioning operations from its primary data center to its backup data center. As a result, designated firms will transition to operating in NSCC's live production environment, at the backup data center, during the week of October 19, 2026. NSCC will use the results of this production activity to validate designated firms' connectivity and operational readiness at the backup data center.

Accordingly, designated firms that received prior notifications requiring participation in the October 24, 2026, Reg SCI industry exercise will no longer be required to actively participate on the October 24 test date. Because connectivity and operational readiness will be demonstrated through live production activity following the October 17 data center rotation, separate participation in the October 24 exercise will not be required.

To support firms' regulatory and compliance obligations, NSCC will make available a report during the week of October 26, 2026, confirming the results of these activities for designated firms.

SMART Network Connectivity Testing Requirement

Notwithstanding the changes described above, designated NSCC firms utilizing the SMART Network remain required to perform annual connectivity testing between their alternate locations and DTCC data centers. These firms will be contacted by DTCC in August regarding testing coordination for the 2026 calendar year.

NSCC firms that are not required to conduct a connectivity test are nevertheless encouraged to do so.

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Looking Ahead

DTCC will continue to evaluate its testing program and will communicate details regarding the 2027 Reg SCI testing schedule and requirements as they become available.

If you have any questions regarding these requirements or would like to schedule a connectivity test, please contact clientconnect@dtcc.com.

Thank you for your continued partnership and support.