IMPORTANT NOTICE
National Securities Clearing Corporation

A #:	a9807
P&S:	p&s9380
Date:	August 13, 2026
To:	All Members and Limited Members
Category:	Service Updates
From:	Clearing & Securities Services
Attention:	Managing Partner/Officer; Operations Partner/Officer; Compliance Officer
Subject:	Settlement Rollover Loss Notification Deadline

On July 13, 2026, DTC and NSCC (collectively, "DTCC") made interest payments to those Participants that would have settled with a legal entity net credit balance on June 29, 2026, the date of the settlement rollover. The interest payments were based on the rate that DTCC earned on cash deposits held by DTCC overnight.

As communicated in Important Notice A9794, P&S9367 (July 9, 2026), if a Participant believes that it incurred losses directly attributable to the June 29 settlement rollover that materially exceed the amount of the July 13 interest payment it received, the Participant should contact DTCC via their Client Lead or Relationship Manager. As stated in the Important Notice, DTCC will conduct a review of these situations on a case-by-case basis, with the right to request supporting information regarding the loss.

Please be advised that August 31, 2026 is the last day for a Participant to provide information to DTCC with respect to such losses for review. DTCC will not consider any notification submitted after August 31, 2026.

Please contact your DTCC Client Lead or Relationship Manager for the documentation requirements relating to such losses.