



IMPORTANT NOTICE

National Securities Clearing Corporation

A #:	A9813
P&S:	P&S9386
Date:	August 24, 2026
To:	All Members
Category:	Service Updates
From:	Client Account Services
Attention:	AML, KYC, Compliance and Membership contacts
Subject:	Legal Entity Identifier (LEI) Requirement Deadline - SR-NSCC-2025-009

Following the Important Notice [A9593](#), informing of the amendments to the National Securities Clearing Corporation ("NSCC") Rules & Procedures ("Rules") relating to Legal Entity Identifier ("LEI") requirements, this notice is to notify NSCC Members that the deadline to provide a valid LEI is October 24, 2026. This notice applies to NSCC Members and does not apply to NSCC Limited Members or CDS Participants.

The Rules provide that Members that do not have a LEI on file with NSCC shall have 60 calendar days from the date that Members are notified by Important Notice to submit their LEIs. Failure to provide a valid LEI within 60 calendar days from the date that Members are notified by Important Notice could subject the Member to disciplinary sanctions, including a fine.

NSCC uses the Global Legal Entity Identifier Foundation ("GLEIF") as a reference source for LEI information and encourages Members to ensure their LEI records in GLEIF are accurate and up to date.

Information about obtaining a LEI can be found in the [LEI-FAQ](#)

Action Required:

Provide the following information to dtccmembershipdocs@dtcc.com

- Your NSCC account number
- A valid LEI
- Legal Entity Name
- Country and State of Incorporation
- Date of Formation (month and year)
- Principal Place of Business Address (city and country)

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