



Important Notice
National Securities Clearing Corporation

A#:	8646
P&S #:	8221
Date:	11/30/2018
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, December 03, 2018:

Brighthouse Life Insurance Company/Metlife Insurance Company

No. 4671

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firms will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, December 04, 2018:

Metropolitan Life Insurance Company

No. 4671

- The following firm will retire from all services, as detailed below:

Last Trade Date, December 03, 2018:

Brighthouse Life Insurance Company/Metropolitan Tower Life Insurance Company

No. 4670

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firms will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, December 04, 2018:

Metropolitan Life Insurance Company/Metropolitan Tower Life Insurance Company

No. 4670

- The following firm will retire from all services, as detailed below:

Last Trade Date, November 29, 2018

Old Mutual Bermuda Business Services Inc.

No. 6910

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firm will no longer participate in the Networking, DTCC Payment AXIS, Omni/SERV Activity and Position, and MF – Profile services, as detailed below:

Last Trade Date, November 30, 2018:

NYLife Distributors LLC/Index IQ Funds No. 6505

- The following firm will no longer participate in the Networking and MF – Daily Price & Rate services, as detailed below:

Last Trade Date, November 30, 2018:

Global Trust Company/SSB-Intech No. 0934

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, December 05, 2018

Angelo, Gordon & Co., L.P./AG Energy Partners II, L.P. No. 4007

Angelo, Gordon & Co., L.P./AG Energy Credit Opportunities Fund, L.P. No. 4008

First Trade Date, December 06, 2018

Hamlin Capital Management, LLC No. 3937

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price & Rate services, as detailed below:

First Trade Date, December 03, 2018:

UMB Distribution Services, LLC/Alta Trust No. 3976

Contact: Darren Siverling

Phone: (414) 299-2160

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price & Rate services, as detailed below:

First Trade Date, December 03, 2018:

Global Trust Company/BNY-Payden No. 7231

Contact: Frank Lалos

Phone: (781) 970-5027

- The following additional number has been assigned to Nationwide Investment Services Corp. (#0263) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price & Rate services, as detailed below:

First Trade Date, February 01, 2019:

Nationwide Investment Services Corp./Nationwide Advisory Solutions No. 3977

Contact: Chris Mamula

Phone: (614) 249-5844

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, effective First Trade Date, November 28, 2018</u>							
From: Rockefeller Financial LLC	PERS	0443	ROCK			✓	
To: Rockefeller Financial LLC	NFSC	0226	ROCK			✓	
<u>Clearing Broker Change, effective First Trade Date, December 03, 2018</u>							
From: Noble Capital Markets, Inc.	ECUT	0100	NOBL	✓			
To: Noble Capital Markets, Inc.	SALI	0750	NOBL	✓			
<u>Delete Last Trade Date, November 26, 2018</u>							
CG Capital Markets, LLC	PERS	0443	CGCM	✓	✓	✓	✓
<u>Delete Last Trade Date, November 27, 2018</u>							
PR Herzig & Co, Inc.	PERS	0443	HZIG		✓		✓
<u>Add First Trade Date, November 27, 2018</u>							
Nest Investments BD LLC	RBCD	0235	NEST	✓	✓	✓	✓
<u>Add First Trade Date, December 04, 2018</u>							
Electronic Transaction Clearing, Inc.	ETCC	0873	ETFO	✓			
<u>Add First Trade Date, December 07, 2018</u>							
Empala Securities, LLC	PFCL	0158	MPLA	✓	✓	✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.