



Important Notice
National Securities Clearing Corporation

A#:	8973
P&S #:	8546
Date:	03/05/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, March 03, 2021

Preste Capital Partners S.A. No. 1156

Last Trade Date, March 05, 2021

Cary Street Partners, LLC No. 6487

Last Trade Date, March 09, 2021

Galloway Capital Management LTD. No. 3434

Veritas Independent Partners, LLC No. 4416

Last Trade Date, March 10, 2021

Ultimus Fund Distributors, LLC/Ryan Labs Funds No. 3183

- The following firm will begin participation in the MF Profile service, as detailed below:

First Trade Date, March 08, 2021:

Quasar Distributors, LLC/Locorr Investment Trust No. 5569

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, March 08, 2021:

Goldman, Sachs & Co. LLC/Goldman Sachs International Fund No. 6570

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- The following firm will change its OTC Symbol, as detailed below:

Effective First Trade Date, March 15, 2021:

From: Interactive Brokers LLC
 OTC Alpha Identifier:

No. 0017
 IBKR

To: Interactive Brokers LLC
 OTC Alpha Identifier:

No. 0017
 IATS

IBKR will remain the Alpha Identifier for Corporate Bonds clearing NSCC #0017.

In connection with the above OTC Symbol change, the following firm will now utilize OTC Alpha Identifier "IBKR", as detailed below:

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, March 15, 2020

Interactive Brokers LLC/Retail
 OTC Alpha Identifier:

No. 0534
 IBKR

For more details regarding the corresponding Executing Broker MPID Changes associated with the above changes, please refer to the NSCC MPID Directory at the end of this notice.

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, March 04, 2021

EAS Accounting Services, CPA LLC/Pocared Diagnostics

No. 0MXN

First Trade Date, March 05, 2021

TFA Associates, LLC/Tasty Brands II, LP

No. 0MXO

Phoenix American Financial Services, Inc./JLLX Montecito Marketplace, DST

No. 0MXP

Opus Fund Services (USA) LLC/Omnia Real Estate Debt Income Fund LLC

No. 0MXQ

- The following additional number has been assigned to Fidelity Distributors Company LLC (#5894) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 08, 2021:

Fidelity Distributors Company LLC/Fidelity Institutional Liquidity Fund

No. 1957

Contact: Jonathan Illuzzi

Phone: (401) 292-5694

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, March 04, 2021</u>							
From: Institutional Securities Corporation	SWST	0279	ISCN	✓	✓	✓	✓
To: Institutional Securities Corporation	RBCD	0235	ISCN	✓	✓	✓	✓
<u>Clearing Broker Change, Effective First Trade Date, March 04, 2021</u>							
From: Olden Lane Securities, LLC	RBCD	0235	OLNE	✓	✓	✓	✓
To: Olden Lane Securities, LLC	SWST	0279	OLNE	✓	✓	✓	✓
<u>Clearing Broker Change, Effective First Trade Date, March 15, 2021</u>							
From: Abraham & Co., Inc.	IBKR	0017	ABRM	✓			
To: Abraham & Co., Inc.	IBKR	0534	ABRM	✓			
From: Burpee Del Simone Capital Markets LLC	IBKR	0017	BDSM	✓			
To: Burpee Del Simone Capital Markets LLC	IBKR	0534	BDSM	✓			
From: Benjamin Securities, Inc.	IBKR	0017	BENJ	✓			
To: Benjamin Securities, Inc.	IBKR	0534	BENJ	✓			
From: BFT Financial Group, LLC	IBKR	0017	BFTG	✓			
To: BFT Financial Group, LLC	IBKR	0534	BFTG	✓			
From: James I. Black & Company	IBKR	0017	BLCK	✓			
To: James I. Black & Company	IBKR	0534	BLCK	✓			
From: Bolton Securities Corporation	IBKR	0017	BLTN	✓			
To: Bolton Securities Corporation	IBKR	0534	BLTN	✓			
From: Brasil Plural Securities LLC	IBKR	0017	BRPR	✓			
To: Brasil Plural Securities LLC	IBKR	0534	BRPR	✓			
From: Bexil Securities LLC	IBKR	0017	BSLL	✓			
To: Bexil Securities LLC	IBKR	0534	BSLL	✓			
From: Calton & Associates, Inc.	IBKR	0017	CAIB	✓			
To: Calton & Associates, Inc.	IBKR	0534	CAIB	✓			

From: Callaway Financial Services, Inc.	IBKR	0017	CALL	✓
To: Callaway Financial Services, Inc.	IBKR	0534	CALL	✓
From: Chicago Analytic Trading Company, LLC	IBKR	0017	CATC	✓
To: Chicago Analytic Trading Company, LLC/Little River Capital, LLC	IBKR	0534	CATC	✓
From: Cathay Securities, Inc.	IBKR	0017	CATH	✓
To: Cathay Securities, Inc.	IBKR	0534	CATH	✓
From: Celadon Financial Group LLC	IBKR	0017	CDON	✓
To: Celadon Financial Group LLC	IBKR	0534	CDON	✓
From: Corporate Investments Group, Inc.	IBKR	0017	CINV	✓
To: Corporate Investments Group, Inc.	IBKR	0534	CINV	✓
From: American Ledger ATS LLC	IBKR	0017	CSTN	✓
To: American Ledger ATS LLC	IBKR	0534	CSTN	✓
From: Citco Securities, Inc	IBKR	0017	CTCO	✓
To: Citco Securities, Inc	IBKR	0534	CTCO	✓
From: Cowen Prime Services LLC	IBKR	0017	CXPS	✓
To: Cowen Prime Services LLC	IBKR	0534	CXPS	✓
From: Dematteo Monness LLC	IBKR	0017	DEMA	✓
To: Dematteo Monness LLC	IBKR	0534	DEMA	✓
From: Wynston Hill Capital, LLC	IBKR	0017	EXTF	✓
To: Wynston Hill Capital, LLC	IBKR	0534	EXTF	✓
From: Financorp Group International Corporation	IBKR	0017	FGIC	✓
To: Financorp Group International Corporation	IBKR	0534	FGIC	✓
From: Fortress Private Ledger, LLC	IBKR	0017	FRTS	✓
To: Fortress Private Ledger, LLC	IBKR	0534	FRTS	✓
From: Futu Inc	IBKR	0017	FUTU	✓
To: Futu Inc	IBKR	0534	FUTU	✓
From: G. Research, Inc.	IBKR	0017	GABE	✓

To: G. Research, Inc.	IBKR	0534	GABE	✓
From: GP Nurmenkari Inc.	IBKR	0017	GPNI	✓
To: GP Nurmenkari Inc.	IBKR	0534	GPNI	✓
From: Global Pacific Securities US, Inc.	IBKR	0017	GPSI	✓
To: Global Pacific Securities US, Inc.	IBKR	0534	GPSI	✓
From: Smith Capital Markets, LLC.	IBKR	0017	GPTG	✓
To: Smith Capital Markets, LLC.	IBKR	0534	GPTG	✓
From: Gar Wood Securities, LLC	IBKR	0017	GWDS	✓
To: Gar Wood Securities, LLC	IBKR	0534	GWDS	✓
From: Halifax America LLC	IBKR	0017	HALA	✓
To: Halifax America LLC	IBKR	0534	HALA	✓
From: Insight Securities, Inc.	IBKR	0017	HORW	✓
To: Insight Securities, Inc.	IBKR	0534	HORW	✓
From: IUR Securities LLC	IBKR	0017	IURS	✓
To: IUR Securities LLC	IBKR	0534	IURS	✓
From: Invicta Capital LLC	IBKR	0017	IVTA	✓
To: Invicta Capital LLC	IBKR	0534	IVTA	✓
From: Jaypee International, Inc.	IBKR	0017	JPII	✓
To: Jaypee International, Inc.	IBKR	0534	JPII	✓
From: Wealthfront Brokerage Corporation	IBKR	0017	KCBC	✓
To: Wealthfront Brokerage Corporation	IBKR	0534	KCBC	✓
From: Silver Leaf Partners, LLC	IBKR	0017	LEAF	✓
To: Silver Leaf Partners, LLC	IBKR	0534	LEAF	✓
From: Liberty Associates Inc.	IBKR	0017	LIAC	✓
To: Liberty Associates Inc.	IBKR	0534	LIAC	✓
From: MDB Capital Group LLC	IBKR	0017	MDBC	✓
To: MDB Capital Group LLC	IBKR	0534	MDBC	✓
From: Madison Global Partners LLC	IBKR	0017	MGPC	✓

To: Madison Global Partners LLC	IBKR	0534	MGPC	✓
From: Mundial Financial Group, LLC	IBKR	0017	MULA	✓
To: Mundial Financial Group, LLC	IBKR	0534	MULA	✓
From: Microventure Marketplace Inc.	IBKR	0017	MVMP	✓
To: Microventure Marketplace Inc.	IBKR	0534	MVMP	✓
From: Northeastern Financial Group Inc.	IBKR	0017	NFGI	✓
To: Northeastern Financial Group Inc.	IBKR	0534	NFGI	✓
From: Obex Securities LLC	IBKR	0017	OBEX	✓
To: Obex Securities LLC	IBKR	0534	OBEX	✓
From: European Family Office Institute LLC.	IBKR	0017	PAPP	✓
To: European Family Office Institute LLC.	IBKR	0534	PAPP	✓
From: Pacific Century Securities, LLC	IBKR	0017	PCSG	✓
To: Pacific Century Securities, LLC	IBKR	0534	PCSG	✓
From: PTI Securities & Futures L.P.	IBKR	0017	PTIX	✓
To: PTI Securities & Futures L.P.	IBKR	0534	PTIX	✓
From: Pickwick Capital Partners, LLC	IBKR	0017	PWCP	✓
To: Pickwick Capital Partners, LLC	IBKR	0534	PWCP	✓
From: Quantriver Financial, LLC	IBKR	0017	QRQR	✓
To: Quantriver Financial, LLC	IBKR	0534	QRQR	✓
From: Aeon Capital Inc	IBKR	0017	SFPB	✓
To: Aeon Capital Inc	IBKR	0534	SFPB	✓
From: S. Goldman Capital LLC	IBKR	0017	SGCM	✓
To: S. Goldman Capital LLC	IBKR	0534	SGCM	✓
From: Hanover Securities	IBKR	0017	SIII	✓
To: Hanover Securities	IBKR	0534	SIII	✓
From: SNC Capital Management Corp.	IBKR	0017	SNCC	✓
To: SNC Capital Management Corp.	IBKR	0534	SNCC	✓
From: Wordentrade, Inc.	IBKR	0017	WRDN	✓

To: Wordentrade, Inc.	IBKR	0534	WRDN	✓
From: Innovation Partners LLC	IBKR	0017	YLAW	✓
To: Innovation Partners LLC	IBKR	0534	YLAW	✓
From: LBMZ Securities, Inc.	IBKR	0017	ZACK	✓
To: LBMZ Securities, Inc.	IBKR	0534	ZACK	✓
From: Zions Direct, Inc.	IBKR	0017	ZDIB	✓
To: Zions Direct, Inc.	IBKR	0534	ZDIB	✓

Delete Last Trade Date, March 02, 2021

Nelson Securities, Inc.	RBCD	0235	NELS	✓	✓	✓	✓
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Delete Last Trade Date, March 12, 2021

Atrium Trading, Inc.	IBKR	0017	ATRM	✓
Benjamin & Jerold Brokerage I, LLC	IBKR	0017	BEGK	✓
Bhirud Associates, Inc.	IBKR	0017	BHIR	✓
BMA Securities LLC	IBKR	0017	BMAS	✓
Equatex US Inc.	IBKR	0017	EQTX	✓
Pinnacle Capital Markets, LLC	IBKR	0017	FRWY	✓
Wedbush Morgan Securities Inc.	IBKR	0017	IBKW	✓
Integrated Trading and Investments, Inc.	IBKR	0017	INII	✓
Arca Capital Investments, Inc.	IBKR	0017	INST	✓
Key West Investments, LLC	IBKR	0017	KEYW	✓
Lightspeed Trading, LLC	IBKR	0017	LSPT	✓
Livevol Securities, Inc.	IBKR	0017	LVLX	✓
MIP Global, Inc.	IBKR	0017	MIPG	✓
Mercor Securities LLC	IBKR	0017	MSLC	✓
GWM Group, Inc.	IBKR	0017	RLCC	✓
Rivernorth Securities, LLC	IBKR	0017	RNSI	✓
Scottrade, Inc.	IBKR	0017	SCTD	✓
Tov Capital Markets, Inc.	IBKR	0017	TOVC	✓
Vandham Securities Corp.	IBKR	0017	VNDS	✓

Add First Trade Date, March 02, 2021

Global Pacific Securities US, Inc.	IBKR	0017	GPSI	✓
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Add First Trade Date, March 04, 2021

Velocity Clearing, LLC	GSCO	0005	VCGO	✓
IMC Execution Services LLC	GSCO	0005	IMCX	✓

Add First Trade Date, March 05, 2021

Gatsby Securities, LLC

PFCL

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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.