



Important Notice
National Securities Clearing Corporation

A#:	9072
P&S #:	8645
Date:	11/05/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 02, 2021

Fulcrum Equity Partners, Inc. No. 2188

Last Trade Date, November 09, 2021

Birge & Held Asset Management LLC No. 4436

- The following firm will no longer participate in Bond Comparison services, as detailed below:

Last Trade Date, November 03, 2021

Crews & Associates, Inc. No. 5158

CORP Alpha Identifier: CREW

MUNI Alpha Identifier: CREW

UIT Alpha Identifier: CREW

- The following firm will no longer participate in the Networking, MF Profile, DTCC Payment aXis, MF Info Xchange, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

Last Trade Date, November 03, 2021:

AIG Capital Services, Inc. No. 5596

- The following firms will change their name only, as detailed below:

First Trade Date, November 04, 2021

From: Popular Securities, Inc. No. 5687

To: Popular Securities LLC No. 5687

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Non-Confidential

First Trade Date, November 08, 2021

From: Mid Atlantic Capital Corporation No. 0102
To: NewEdge Securities, Inc. No. 0102

From: Mid Atlantic Capital Corporation/Insurance No. 0684
To: NewEdge Securities, Inc./Insurance No. 0684

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, November 03, 2021:

Quasar Distributors, LLC/Portfolio 21 No. 4929

First Trade Date, November 05, 2021:

Ultimus Fund Distributors, LLC/Westwood Funds No. 3177

- The following firm will begin participation in Bond Comparison services, as detailed below:

Last Trade Date, November 08, 2021

Folio Investments, Inc. No. 0728
UIT Alpha Identifier: FTBR

- The following firm will begin participation in the MF Info Xchange service, as detailed below:

First Trade Date, November 09, 2021:

Quasar Distributors, LLC/Brookfield Oaktree Interval Fund No. 3402

- The following firm will begin participation in the MF Profile service, as detailed below:

First Trade Date, November 09, 2021:

Quasar Distributors LLC/Jacob Internet Fund No. 4960

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, November 04, 2021

SS&C Technologies, Inc./Performance Venture Capital V, L.P. No. 0N41
Trident Fund Services, Inc./True Culture Fund LLC No. 0N42

First Trade Date, November 05, 2021

Apex Fund Services (Canada) Ltd. No. 0N3Y

- The following additional number has been assigned to Matrix 360 Distributors, LLC (#5681) for the purpose of utilizing Fund/SERV, Networking, MF Profile and DTCC Payment aXis services, as detailed below:

First Trade Date, November 03, 2021:

Matrix 360 Distributors, LLC/IDX No. 3398
 Contact: Bob LaValley
 Phone: (816) 288-4479

- The following additional number has been assigned to Artisan Partners Distributors LLC (#6653) for the purpose of utilizing Fund/SERV, Networking, MF Profile and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 04, 2021:

Artisan Partners Distributors LLC/Artisan Partners Global Funds PLC No. 2311
 Contact: Jane Armstrong
 Phone: (414) 299-4020

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>OTC/CMU</u>	<u>Corp</u>	<u>Muni</u>
<u>Executing Broker Name Change, Effective First Trade Date, November 04, 2021</u>							
From: Lime Brokerage LLC	WEDB	0103	LITE	✓			
To: Score Priority Corp.	WEDB	0103	LITE	✓			
<u>Add First Trade Date, November 02, 2021</u>							
Velocity Capital, LLC	QNTX	0294	VLCT	✓			
Ameriprise Financial Services, LLC	HRBF	0756	AEFA	✓			
<u>Add First Trade Date, November 03, 2021</u>							
Velocity Clearing, LLC	QNTX	0294	VCTP	✓			
<u>Add First Trade Date, November 04, 2021</u>							
Crews & Associates, Inc.	PERS	0443	CREW		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.