



Important Notice
National Securities Clearing Corporation

A#:	9061
P&S #:	8633
Date:	10/01/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2021:

Forside Fund Services, LLC/Merger Fund

No. 6601

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to VP Distributors, LLC (#5517) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 01, 2021

VP Distributors, LLC/WCM

No. 6601

Contact: Heidi Griswold

Phone: (413) 775-6020

- The following firms will retire from all services, as detailed below:

Last Trade Date, September 29, 2021:

MRW Financial, Inc.

No. 1781

Last Trade Date, September 30, 2021:

Quasar Distributors, LLC/Magnetar Funds

No. 1561

- The following firms will change their name only, as detailed below:

First Trade Date, September 30, 2021:

From: Marsco Investment Corporation

No. 0287

To: Tradeup Securities, Inc.

No. 0287

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Non-Confidential

From: Foreside Fund Services, LLC/Merger Fund	No.	6601
To: Foreside Fund Services, LLC/Virtus Capital Management	No.	6601

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, September 30, 2021

Nuveen Securities, LLC/Nuveen High Yield Municipal Opportunities Fund, LP	No.	0N34
Nuveen Securities, LLC/Nuveen Municipal Opportunities Fund, LP	No.	0N35

First Trade Date, October 01, 2021

Ecovest Capital, Inc.	No.	0N2Y
Sudrania Fund Services Corp/Cockroach Fund LLC Total Portfolio Series	No.	0N36
Sudrania Fund Services Corp/Cockroach Fund LLC Commodity Trend Series	No.	0N37
Sudrania Fund Services Corp/Crypto Futura Fund LLC	No.	0N38

First Trade Date, October 05, 2021

NAV Consulting Inc/Markin Alpha Fund LP	No.	0N39
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First Trade Date, October 06, 2021

Capstack Partners LLC/Capstack Real Estate Credit Fund LP	No.	0N3A
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- The following firm will begin participation in OTC Comparison, Obligation Warehouse and ACATS services, as detailed below:

First Trade Date, October 01, 2021:

Drivewealth, LLC	No.	2402
OTC Alpha Identifier		DRIV

- The following additional number has been assigned to Great-West Life & Annuity Insurance Company (#4735) for the purpose of utilizing Networking, Retirement Plan Reporting, DTCC Payment aXis and Insurance services, as detailed below:

First Trade Date, October 01, 2021:

Great-West Life & Annuity Insurance Company/MM2	No.	3168
Contact: Raymond Castro		
Phone: (303) 737-1343		

- The following additional number has been assigned to Great-West Life & Annuity Insurance Company Of New York (#4736) for the purpose of utilizing Networking, Retirement Plan Reporting, DTCC Payment aXis and Insurance services, as detailed below:
First Trade Date, October 01, 2021:
Great-West Life & Annuity Insurance Company Of New York/MM2 No. 3170
Contact: Raymond Castro
Phone: (303) 737-1343
- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, MF Info Xchange and ACATS Fund/SERV services, as detailed below:
First Trade Date, October 01, 2021:
UMB Distribution Services, LLC/Thirdline No. 3348
Contact: Darren Siverling
Phone: (414) 299-2160
- The following additional number has been assigned to Vigilant Distributors, LLC (#2413) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate and ACATS Fund/SERV services, as detailed below:
First Trade Date, October 04, 2021:
Vigilant Distributors, LLC/Reflection Asset Management, LLC No. 3321
Contact: Matthew Seidenberg
Phone: (484) 840-3704
- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:
First Trade Date, October 04, 2021:
Foreside Fund Services, LLC/Abraham Trading Company No. 3356
Contact: Darren Siverling
Phone: (414) 299-2160
- The following additional number has been assigned to Venerable Insurance and Annuity Company (#4506) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:
First Trade Date, October 05, 2021:
Venerable Insurance and Annuity Company/SE2 Administrator No. 3290
Contact: Zack Dunkin
Phone: (515) 410-3064

- The following additional number has been assigned to Voya Retirement Insurance and Annuity Company (#4508) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, October 05, 2021:

Voya Retirement Insurance and Annuity Company/SE2 Administrator No. 3303
 Contact: Gordon Elrod
 Phone: (860) 580-2500

- The following additional number has been assigned to Reliastar Life Insurance Company Of New York (#4653) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, October 05, 2021:

Reliastar Life Insurance Company Of New York/SE2 Administrator No. 3315
 Contact: Gordon Elrod
 Phone: (860) 580-2500

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>	
<u>Clearing Broker Symbol Change, Effective First Trade Date, October 04, 2021</u>								
From: US Tiger Securities, Inc.	PFCL	0158	TIGE	✓				
To: US Tiger Securities, Inc.	MIRA	1043	TIGE	✓				
<u>Name Change, Effective First Trade Date, September 30, 2021</u>								
From: Marsco Investment Corporation	MRSC	0287	MRSC	✓	✓	✓	✓	
To: Tradeup Securities, Inc.	MRSC	0287	MRSC	✓	✓	✓	✓	
<u>Delete Last Trade Date, September 30, 2021</u>								
Lake Forest Securities, LLC	RBCD	0235	LAKE	✓	✓	✓	✓	
<u>Add First Trade Date, October 04, 2021</u>								
Click IPO Securities, LLC	PFCL	0158	CLIC	✓				
US Tiger Securities, Inc.	MIRA	1043	TIGE		✓	✓		

Add First Trade Date, October 06, 2021

Tradingblock

VLNT

4305

TBLK

✓

Add First Trade Date, October 14, 2021

Santander Securities LLC

NFSC

0226

SSNE

✓

✓

✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.