



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9054
<b>P&amp;S #:</b>	8627
<b>Date:</b>	09/24/2021
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:  
**Last Trade Date, September 27, 2021:**  
Capital Institutional Services, Inc. No. 0475
  
- The following firm will change their name only, as detailed below:  
**First Trade Date, September 24, 2021:**  
From: Communityamerica Financial Solutions, LLC No. 8386  
To: Copper Financial Network, LLC No. 8386
  
- The following firms will begin participation in the Networking, Retirement Plan Reporting and DTCC Payment aXIS services, as detailed below:  
**First Trade Date, September 22, 2021:**  
Great-West Life & Annuity Insurance Company No. 4735  
Great-West Life & Annuity Insurance Company Of New York No. 4736
  
- The following firms will begin participation in the Networking, Retirement Plan Reporting and DTCC Payment aXIS services, as detailed below:  
**First Trade Date, September 28, 2021:**  
Great-West Life & Annuity Insurance Company/MM No. 2783  
Great-West Life & Annuity Insurance Company Of New York/MM No. 3008

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- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, September 28, 2021:**

Ultimus Fund Distributors, LLC/Longboard Managed Futures Strategy Fund No. 3347

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, September 23, 2021**

Phoenix American Financial Services, Inc./Beacon Partners Fund II, LP No. 0N2W

**First Trade Date, September 24, 2021**

Cantor Fitzgerald Investors, LLC/CF Texas Multifamily Portfolio DST No. 0N2Z

**First Trade Date, September 27, 2021**

UMB Fund Services, Inc./Arrowmark Funds No. 0N2X

SS&C Technologies, Inc./PEO Private Equity Access Fund, LLC No. 0N30

**First Trade Date, September 29, 2021**

EAS Accounting Services, CPA LLC/Aerami Therapeutics No. 0N32

- The following additional number has been assigned to Forethought Life Insurance Company (#5339) for the purpose of utilizing Insurance Processing Services as detailed below:

**First Trade Date, September 27, 2021:**

Forethought Life Insurance Company/Global Atlantic No. 3286

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

**Clearing  
Broker**

**Executing  
Broker**

**Products  
OTC/CMU**

Alpha

Numeric

Alpha

OTC

Corp

Muni

UIT

**Clearing Broker Symbol Change, Effective**

**First Trade Date, September 22, 2021**

From: Pacific Century Securities, LLC

IBKR

0534

PCSG

✓

To: Pacific Century Securities, LLC

PFCL

0158

PCSG

✓

**Name Change, Effective First**

**Trade Date, September 22, 2021**

From: Headlands Tech Global Markets, LLC	PERS	0443	HEAD	✓	✓	✓
To: TD Securities Automated Trading LLC	PERS	0443	HEAD	✓	✓	✓

**Name Change, Effective First**

**Trade Date, September 27, 2021**

From: Hardcastle Trading USA L.L.C.	GSCO	0005	HCTA	✓	✓	✓	✓
To: PEAK6 Capital Management LLC	GSCO	0005	HCTA	✓	✓	✓	✓

**Delete Last Trade Date, September 21, 2021**

Lime Brokerage LLC	WEDB	0103	LMGT	✓
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**Delete Last Trade Date, September 23, 2021**

Feldman, Ingardona & Co.	NFSC	0226	FMAC		✓	✓	✓
Instinet, LLC	ACRA	0436	INAR	✓			
Lime Brokerage LLC	ACRA	0436	LIMA	✓			
Penson Financial Services, Inc.	ACRA	0436	PEAR	✓			

**Delete Last Trade Date, September 24, 2021**

Silver Leaf Partners, LLC	IBKR	0534	LEAF	✓
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**Add First Trade Date, September 22, 2021**

TD Securities Automated Trading LLC	PERS	0443	HEAD	✓
Pershing LLC	PERS	0443	PPFD	✓

**Add First Trade Date, September 23, 2021**

Vestfi Inc.	PFCL	0158	VESB	✓
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If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).