



A#:	9317
P&S #:	8890
Date:	06/30/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 30, 2023:

Tullett Prebon Financial Services LLC

No. 0624

As a result of the merger of Tullett Prebon Financial Services LLC with and into TP ICAP Global Markets Americas LLC, TP ICAP Global Markets Americas LLC will assume all open contracts for Tullett Prebon Financial Services LLC (#0624), which are to be cleared or settled through or by National Securities Clearing Corporation.

- The following additional number has been assigned to, TP ICAP Global Markets Americas LLC (#0148) as detailed below:

First Trade Date, July 03, 2023

TP ICAP Global Markets Americas LLC/Tullett

No. 0624

Contact: Gary Burton

Phone: (502) 572-3013

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 29, 2023:

Wells Fargo Bank, N.A.

No. 7115

Last Trade Date, June 30, 2023:

Equitable Financial Life Insurance Company/Venerable

No. 3034

Ultimus Fund Distributors, LLC/Preserver Funds

No. 3220

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

Last Trade Date, July 05, 2023:

ITAU USA Asset Management Inc. No. 3213

- The following firm will no longer participate in Obligation Warehouse services, as detailed below:

First Trade Date, June 29, 2023:

Wealthsimple Investments Inc./CDS No. 5004

- The following firm will begin participation in Insurance Processing services, as detailed below:

First Trade Date, July 03, 2023:

American Funds Distributors, Inc. No. 0754

- The following firms will begin participation in Omni/SERV Activity & Position services, as detailed below:

First Trade Date, July 03, 2023:

Foreside Fund Services, LLC/Euro Pacific Funds No. 2989

First Trade Date, July 05, 2023:

Foreside Distribution Services, L.P./M&G (LUX) Investment Funds 1 No. 4393

- The following firm will begin participation in OTC Comparison, Obligation Warehouse and ACATS services, as detailed below:

First Trade Date, June 29, 2023

Jane Street Execution Services, LLC No. 3492
OTC Alpha Identifier JSSC

- The following firm will begin participation in NYSE Listed service, as detailed below:

First Trade Date, June 30, 2023

Jane Street Execution Services, LLC No. 3492
NYSE Alpha Identifier JSES

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, June 29, 2023:

Bridge Fund Financial Services LLC/Bridge Multifamily Fund IV International LP No. 1700

First Trade Date, July 03, 2023:

Bentallgreenoak (U.S.) Limited Partnership

No. 16Q9

First Trade Date, July 06, 2023:

UMB Fund Services, Inc./Felicitas Private Markets Fund

No. 17CQ

- The following additional number has been assigned to Matrix 360 Distributors, LLC (#5681) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF Profile services as detailed below:

First Trade Date, June 29, 2023:

Matrix 360 Distributors, LLC/Bridge City

No. 3227

Contact: Tim Easton

Phone: (773) 592-3249

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, MF Info Exchange, ACATS Fund/SERV and MF Profile services as detailed below:

First Trade Date, July 03, 2023:

UMB Distribution Services, LLC/Stepstone Funds

No. 2471

Contact: Michael Huisman

Phone: (414) 299-2242

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, MF Info Exchange, ACATS Fund/SERV and MF Profile services as detailed below:

First Trade Date, July 03, 2023:

UMB Distribution Services, LLC/Redwood Real Estate Income Funds
Income Fund

No. 3047

Contact: Michael Huisman

Phone: (414) 299-2242

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First</u>							
<u>Trade Date, June 28, 2023</u>							
From: Elevation, LLC	MLCO	0161	ELEV	✓	✓	✓	✓
To: Elevation, LLC	RBCD	0235	ELEV	✓	✓	✓	✓
<u>Clearing Broker Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Quattro M Securities Inc.	LGNT	0052	QMSR	✓			
To: Quattro M Securities Inc.	RBCD	0235	QMSR	✓			
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Tullett Prebon Financial Services LLC	PATR	0624	PATR	✓	✓	✓	✓
To: TP ICAP Global Markets Americas LLC	PATR	0624	PATR	✓	✓	✓	✓
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Tullett Prebon Financial Services LLC	MLCO	0161	PBON	✓	✓	✓	✓
To: TP ICAP Global Markets Americas LLC	MLCO	0161	PBON	✓	✓	✓	✓
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Tullett Prebon	PATR	0624	CHPP		✓	✓	✓
To: TP ICAP Global Markets Americas LLC	PATR	0624	CHPP		✓	✓	✓
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Tullett Prebon Financial Services LLC	PATR	0624	CHPP	✓			
To: TP ICAP Global Markets Americas LLC	PATR	0624	CHPP	✓			
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Prebon Financial Products Inc.	MLCO	0161	PFPI	✓	✓		
To: TP ICAP Global Markets Americas LLC	MLCO	0161	PFPI	✓	✓		
<u>Name Change, Effective First</u>							
<u>Trade Date, July 03, 2023</u>							
From: Tullett Prebon Financial Services LLC	PERS	0443	PFPI				✓
To: TP ICAP Global Markets Americas LLC	PERS	0443	PFPI				✓

Name Change, Effective First

Trade Date, July 03, 2023

From: Tullett Prebon Financial Services LLC	MLCO	0161	COEX	✓	✓	✓	✓
To: TP ICAP Global Markets Americas LLC	MLCO	0161	COEX	✓	✓	✓	✓

Name Change, Effective First

Trade Date, July 03, 2023

From: Tullett Prebon Financial Services LLC	PATR	0624	TPCD	✓
To: TP ICAP Global Markets Americas LLC	PATR	0624	TPCD	✓

Delete Last Trade Date, June 27, 2023

Triad Securities Corp	IBFS	0824	TIAC	✓		
Triad Securities Corp	ICBC	0824	TIAC		✓	✓

Add First Trade Date, June 29, 2023

Jane Street Execution Services, Llc	JSSC	3492	JSSC	✓		
-------------------------------------	------	------	------	---	--	--

Add First Trade Date, July 03, 2023

Quattro M Securities Inc.	RBCD	0235	QMSR		✓	✓	✓
---------------------------	------	------	------	--	---	---	---

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.