



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9354
<b>P&amp;S #:</b>	8927
<b>Date:</b>	11/03/2023
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	CLIENT ACCOUNT SERVICES
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, November 01, 2023**

NS AM Member, LLC

No. 1489

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, November 02, 2023**

Northstar Healthcare Operations LLC

No. 1489

- The following firm will retire from all services, as detailed below:

**Last Trade Date, November 10, 2023**

Forside Fund Services, LLC/Variant Alternative Income Fund

No. 3666

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Networking, Fund/SERV, ACATS Fund/SERV, MF Profile, MF Info Xchange and DTCC Payment Axis services, as detailed below:

**First Trade Date, November 13, 2023**

UMB Distribution Services, LLC/Variant Funds

No. 3666

Contact: Darren Siverling

Phone: (414) 299-2160

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Non-Confidential

- The following firm will retire from all services, as detailed below:

**Last Trade Date, November 02, 2023**

Quasar Distributors, LLC/Portfolio 21 No. 4929

**Last Trade Date, November 03, 2023:**

Bancwest Investment Services No. 6130

**Last Trade Date, November 07, 2023:**

Foreside Fund Services, LLC/Aristotle Funds No. 3161

Alps Distributors, Inc./Redmont Funds No. 3787

**Last Trade Date, November 08, 2023:**

Alps Distributors, Inc./Stone Harbor Ucits Funds No. 8269

- The following firms will change their name only, as detailed below:

**First Trade Date, November 06, 2023:**

From: Infinex Investments, Inc. No. 0113

To: Osaic Institutions, Inc. No. 0113

- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

**First Trade Date, November 03, 2023**

Talimar Financial Inc. No. 18BM

Nav Consulting Inc/Verano Energy Investment Management, LP No. 18GQ

Alter Domus (Us) LLC/CPCM DAF LP No. 18GT

Alter Domus (Us) LLC/CPCM PIF II LP No. 18GU

**First Trade Date, November 06, 2023**

Phoenix American Financial Services, Inc./CS1031 Mob AZ II, DST No. 18CF

Phoenix American Financial Services, Inc./CS1031 Mob TX V, DST No. 18CN

Phoenix American Financial Services, Inc./CS1031 Mob TX VI, DST No. 18CO

Phoenix American Financial Services, Inc./CS1031 Mob TX VII, DST No. 18CP

Phoenix American Financial Services, Inc./CS1031 One Riverside Apartments, DST No. 18CQ

**First Trade Date, November 07, 2023**

Phoenix American Financial Services, Inc./CS1031 Rivergate Apartments, DST No. 18CR

Phoenix American Financial Services, Inc./CS1031 SE Apartment Portfolio, DST No. 18CS

Phoenix American Financial Services, Inc./CS1031 SE Apartment Portfolio II, DST No. 18CT

Phoenix American Financial Services, Inc./CS1031 SE Mob Portfolio, DST No. 18CU

Phoenix American Financial Services, Inc./CS1031 Southlawn Lawrenceville Apartments, DST No. 18CV

**First Trade Date, November 08, 2023**

Phoenix American Financial Services, Inc./CS1031 Sterling Manor Apartments, DST	No. 18CW
Phoenix American Financial Services, Inc./CS1031 Tampa Pharma, DST	No. 18CX
Phoenix American Financial Services, Inc./CS1031 The Louis Apartment Flats, DST	No. 18CY
Phoenix American Financial Services, Inc./CS1031 The Quincy Apartments, DST	No. 18CZ
Phoenix American Financial Services, Inc./CS1031 Williamsburg VA Apartments, DST	No. 18DA

**First Trade Date, November 09, 2023**

Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund III, LLC	No. 18EA
Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund I, LLC	No. 18E8
Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund II, LLC	No. 18E9
Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund IV, LLC	No. 18EB
Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund V, LLC	No. 18EC

**First Trade Date, November 10, 2023**

Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund VI, LLC	No. 18ED
Phoenix American Financial Services, Inc./CSRA Opportunity Zone Fund VII, LLC	No. 18EF
Phoenix American Financial Services, Inc./CSRA Steamboat Basecamp, LLC	No. 18EH

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF Daily Price & Rate services as detailed below:

**First Trade Date, November 03, 2023:**

Quasar Distributors, LLC/Verity Funds	No. 3797
Contact: Casey Sauer	
Phone: (414) 203-9122	

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV, MF Daily Price & Rate, MF Profile and MF Info Xchange services as detailed below:

**First Trade Date, November 07 2023:**

Umb Distribution Services, LLC/AOG Institutional Diversified Fund	No. 3849
Contact: Darren Siverling	
Phone: (414) 299-2160	

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<b><u>Delete Last Trade Date, October 30, 2023</u></b>							
Bancwest Investment Services	PERS	0443	BWIS		✓		✓
<b><u>Delete Last Trade Date, October 31, 2023</u></b>							
Haverford Trust Securities, Inc.	WRET	0141	RITT	✓	✓		✓
<b><u>Delete Last Trade Date, November 01, 2023</u></b>							
Rice Financial Products Company	VLNT	4305	RICD	✓			
<b><u>Delete Last Trade Date, November 06, 2023</u></b>							
Decker & CO, LLC	ECUT	0100	DECK			✓	
Abel Noser	ECUT	0100	ABNS		✓	✓	✓
Emerging Growth Equities, LTD.	ECUT	0100	EGRO			✓	
IFS Securities, Inc.	ECUT	0100	IFSG		✓	✓	✓
GSN North America, Inc.	ECUT	0100	ESNA			✓	
The Buckingham Research Group Incorporated	ECUT	0100	BUCK		✓	✓	
<b><u>Add First Trade Date, November 02, 2023</u></b>							
Herndon Plant Oakley, LTD.	RBCD	0235	HPOM	✓	✓	✓	✓
Group One Trading, L.P.	PRST	0551	GONE	✓			
<b><u>Add First Trade Date, November 03, 2023</u></b>							
Par5 Capital & CO LLC	PERS	0443	PARV			✓	
<b><u>Add First Trade Date, November 06, 2023</u></b>							
T3 Securities, Inc.	PFCL	0158	TTSI	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).