



Important Notice
National Securities Clearing Corporation

A#:	9360
P&S #:	8933
Date:	11/24/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will change its OTC Symbol, as detailed below:

Effective First Trade Date, November 22, 2023:

From: TZ Clear LLC

No. 0712

OTC Alpha Identifier:

KOSE

To: TZ Clear LLC

No. 0712

OTC Alpha Identifier:

TZCL

- The following firm will begin participating in MF Profile services, as detailed below:

First Trade Date, November 28, 2023

Quasar Distributors, LLC/Pabrai Wagons Funds

No. 3794

- The following firm will begin participating in the Insurance Processing services, as detailed below:

First Trade Date, December 01, 2023

Selectquote Insurance Services

No. 3119

- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

First Trade Date, November 27, 2023

UMB Fund Services, Inc./JLLX Diversified III DST

No. 18MA

EAS Accounting Services, CPA LLC/Aeropost Holding Corporation

No. 18LS

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Non-Confidential

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, November 22, 2023</u>							
From: LPS Capital LLC	ICBK	0388	LPSP		✓	✓	
To: LPS Capital LLC	MIRA	1043	LPSP		✓	✓	
<u>Clearing Broker Change, Effective First Trade Date, November 22, 2023</u>							
From: Lampost Capital, L.C.	IBFS	0824	LAMP	✓			
To: Lampost Capital, L.C.	VLNT	4305	LAMP	✓			
<u>Clearing Broker Change, Effective First Trade Date, November 27, 2023</u>							
From: Speedroute LLC	IBFS	0824	SPDR	✓			
To: Speedroute LLC	VLNT	4305	SPDR	✓			
<u>Delete Last Trade Date, November 22, 2023</u>							
TJM Investments, LLC	ICBK	0388	TJMG	✓	✓	✓	
TJM Investments, LLC	ICBK	0388	TJMT	✓			
TJM Investments, LLC	ICBK	0388	TJMS	✓			
TJM Investments, LLC	ICBK	0388	TJMB	✓			
TJM Investments, LLC	ICBK	0388	MNDT	✓			
LPS Capital LLC	ICBK	0388	LPSP				✓
<u>Add First Trade Date, November 24, 2023</u>							
TZ Clear LLC	TZCL	0712	ZBAH	✓			
TZ Clear LLC	TZCL	0712	ZCAN	✓			
<u>Add First Trade Date, November 27, 2023</u>							
Arete Wealth Management, LLC	DRIV	2402	KEYS	✓			
SWBC Investment Services, LLC	PERS	0443	SWBC		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.