



Important Notice
National Securities Clearing Corporation

A#:	9484
P&S #:	9057
Date:	08/30/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 30, 2024:

Atel Securities Corporation

No. 1846

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, September 03, 2024:

Atel Financial Services, LLC

No. 1846

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 30, 2024:

Delaware Life Insurance Company of New York/Life SE2

No. 3056

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Nassau Life Insurance Company (#4579) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, September 03, 2024

Nassau Life Insurance Company/DLNY Zinnia Serviced 2

No. 3056

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Non-Confidential

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 30, 2024:

Delaware Life Insurance Company of New York

No. 4519

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Nassau Life Insurance Company (#4579) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, September 03, 2024

Nassau Life Insurance Company/DLNY Zinnia Serviced 1

No. 4519

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 30, 2024:

Delaware Life Insurance Company of New York/Columbia

No. 4645

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Nassau Life Insurance Company (#4579) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, September 03, 2024

Nassau Life Insurance Company/DLNY Zinnia Serviced 3

No. 4645

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 30, 2024

Natixis Distribution, LLC/WCM

No. 4052

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to First Trust Portfolios L.P. (#5374) for the purpose of utilizing Fund/SERV, MF Profile, Networking, DTCC Payment aXis, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 03, 2024

First Trust Portfolios L.P./WCM Funds

No. 4052

Contact: James Dykas

Phone: (630) 517-7665

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 03, 2024

Johnson Investment Counsel, Inc.

No. 3779

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, MF Profile, and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 04, 2024

Ultimus Fund Distributors, LLC/Johnson Investment Funds

No. 3779

Contact: Chris Baird

Phone: (402) 578-0172

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 06, 2024

Forside Fund Services, LLC/Direxion Funds

No. 5726

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, MF Profile, MF Info Xchange, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 09, 2024

ALPS Distributors, Inc./Direxion Funds

No. 5726

Contact: Casey Sauer

Phone: (414) 203-9122

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 04, 2024

Coastal Ridge Real Estate Partners, LLC

No. 0ZVP

- The following firm will begin participation in DTCC Payment Axis services, as detailed below:

First Trade Date, September 03, 2024:

BNP Paribas, New York Branch/AZ Fund Management SA

No. 0717

- The following firm will begin participation in the MF Profile service, as detailed below:

First Trade Date, September 03, 2024:

Quasar Distributors, LLC/Thompson IM Funds No. 5557

First Trade Date, September 06, 2024:

Matrix 360 Distributors, LLC/Hedgerow Funds No. 3287

Matrix 360 Distributors, LLC/Stringer Funds No. 7085

- The following firms will begin participation in the Alternative Investment Product service, as detailed below:

First Trade Date, August 30, 2024:

Templum Markets LLC No. 1H3T

First Trade Date, September 03, 2024:

Phoenix American Financial Services, Inc./Victrix-Gordon No. 1H79

Carew Tower QOf LLC

First Trade Date, September 05, 2024:

Blackstone Securities Partners L.P./Blackstone Infrastructure Strategies L.P. No. 1H9C

NAV Consulting Inc/Reseed Fund Manager, LLC No. 1H9D

UMB Fund Services, Inc./Hamilton Lane Private Infrastructure Fund Class R No. 1H9K

UMB Fund Services, Inc./Hamilton Lane Private Infrastructure Fund Class I No. 1H9O

UMB Fund Services, Inc./Hamilton Lane Private Infrastructure Fund Class Y No. 1H9P

Alter Domus (US) LLC/S3 LB Real Estate Credit A III LP No. 1H9Q

Alter Domus (US) LLC/S3 LB Real Estate Credit III LP No. 1H9U

- The following additional number has been assigned to Saturna Brokerage Services, Inc. (#4983) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 03, 2024:

Saturna Brokerage Services, Inc./Saturna Retirement Trust No. 1743

Contact: Chris Fankhauser

Phone: (360) 734-9900 Ext. 1201

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective First Trade Date, September 03 2024:</u>							
From: TJM Investments, LLC	RBCD	0235	MNDX	✓			
To: TJM Investments, LLC	CLST	9132	MNDX	✓			
<u>Delete Last Trade Date, August 27, 2024:</u>							
Transamerica Financial Advisors	PERS	0443	INSC		✓		✓
<u>Delete Last Trade Date, August 30, 2024:</u>							
TJM Investments, LLC	RBCD	0235	MNDX		✓	✓	✓
<u>Add First Trade Date, August 27, 2024:</u>							
Peak6 Capital Management LLC	GSCO	0005	PKCM	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.