



B #:	19663-24
Date:	February 6, 2024
To:	Participants
Category:	Securities Processing Service Update
From:	DTC Asset Services Product Management Team
Attention:	Officers / Operations Managers / Operations Users / Business Continuity Managers
Subject:	DWAC – CCF/DTF/CF2 Batch Files CF2DWX - FAST Direct Deposits/Withdrawal At Custodian DWCEOD - DWAC Participant Acknowledgement DWCPAB – DWAC Participant Billing DWAC Transaction Processing - Day One on Securities Processing Application (SPA)

This is a follow up to Important Notice [B#19281-23](#) published November 17th, 2023, which announced the new user roles required for access to the Securities Processing Application (SPA) portal for DWAC transaction processing and DWAC Centralized Billing, as well as Physical Inventory Update Processing.

DTC also announced guidance for CCF/DTF/CF2 Batch files which will be supported in their current format for an extended period, until such time as firms will be required to adopt DTC's API solution.

In conjunction with the portal testing underway, firms may submit CF2DWX (instruction input) DWAC transaction test files into the SPA PSE region via CF2 Batch or ISO formats depending on your firm's method to submit. These test files will automatically be routed to the new SPA system where clients will be able to observe them on the SPA portal.

For guidance on how to submit CF2DWX test files click [here](#) for the FAST Direct Deposits/Withdrawal At Custodian via CF2 (CF2DWX): User's Guide.

Also, the daily DWCEOD and DWCPAB files will be available in SPA PSE via current CCF/DTF Batch processing schedule.

As a reminder, please follow the below for User access to the SPA portal if you have not done so yet.

All Participants must provision their Users to use the new DWAC Transactions and Physical Inventory Update functions (as applicable) on the SPA System, in preparation for the testing period and in anticipation of the retirement of the DWAC functionality on PTS/PBS targeted for March 27th, 2024, and for day one DWAC transaction processing on SPA March 28th, 2024.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

User's access and entitlements to SPA will require provisioning through their respective Super Access Coordinator (SAC). SACs can send an email to DTCCIntegration@dtcc.com should they have questions regarding provisioning access via the DTCC Portal within the Customer Registration System (CRS).

The following entitlements are available in the new SPA (see chart below for details)

- SPA DWAC Participant Inquiry
- SPA DWAC Participant Update
- SPA DWAC Participant Inquiry API
- SPA DWAC Participant Update API
- SPA Participant Physical Inventory Adjustments Updates without Maker/Checker Approval
- SPA Participant Physical Inventory Adjustments Updates with Maker/Checker Approval

SACs should provision access for their Users (Operators) to both DTC's PSE (Test) and Production regions.

New SPA Role	SPA Description	SPA Navigation	PTS Role	PBS Role
SPA DWAC Participant Inquiry	Users that request this role will be allowed to perform Inquiry of DWAC instructions/transactions and view reports.	MyDTCC.com>Securities Processing Application>Transactions>DWAC >Inquiry or Pending Transactions	PDWC	Part Direct Deposit/Withdrawal
SPA DWAC Participant Update	Users that request this role will be allowed to Create Instructions for DWAC transactions.	MyDTCC.com>Securities Processing Application>Transactions>DWAC >Create Instructions	PDWC	Part Direct Deposit/Withdrawal
SPA DWAC Participant Inquiry API	This role allows a Participant Robot Persona to perform DWAC inquiries via APIs within the Securities Processing application.	New Real-Time B2B APIs using DTCC API Marketplace*	N/A	N/A
SPA DWAC Participant Update API	This role allows a Participant Robot Persona to perform DWAC updates (including create or cancel instructions) via APIs within the Securities Processing application.	New Real-Time B2B APIs using DTCC API Marketplace*	N/A	N/A
SPA Participant Physical Inventory Adjustments Updates without Maker/Checker Approval	This role is for All External users to access the SPA portal for performing Physical Inventory Customer Account Update - Single Certificate (multiple certificates within a unit of work will update, as applicable).	MyDTCC.com>Securities Processing Application>Inventory>Physical Inventory>Maintenance>Edit Customer Account	CUST (Option 7)	Custody Services (Option 7), #2 - Customer Account Update - Single Certificate

SPA Participant Physical Inventory Adjustments Updates with Maker/Checker Approval	<i>This is a new feature of the SPA system.</i> This role should be used in conjunction with the above role, for those users requiring a maker/checker of Physical Inventory Customer Account update. Users with this role will require a second user to approve the update.	MyDTCC.com>Securities Processing Application>Inventory>Physical Inventory>Maintenance>Edit Customer Account	N/A	N/A
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*DTC’s API solution serves as the replacement for current CCF/DTF Batch Files, providing a modernized real-time processing solution. While current CCF/DTF Batch Files will be supported for an extended period in the new SPA system, without any programming changes required by subscribers, eventually the CCF/DTF Batch Files will be retired. DTC will provide ample notice to subscribers so that firms can plan for this change, which will require some system programming changes by subscribing firms to adopt the API solution. **All users of CCF Batch Files should note that they are not “real-time” and run in batches. In particular, the shortening of the Settlement Cycle to T+1 may create a need for “real-time” processing. Therefore, clients who wish to see their DWAC transactions processed in real-time should migrate to the API by April 2024.**

Please direct any questions you may have to your Relationship Manager.