



Important Notice
National Securities Clearing Corporation

A#:	9389
P&S #:	8962
Date:	02/09/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#9384 (P&S#8957) dated January 26, 2024 has been cancelled as follows:

- The following firm will retire from all services, as detailed below:
Last Trade Date, February 29, 2024
Foreside Fund Services, LLC/Quaker Funds No. 4885
- The following firm will retire from all services, as detailed below:
Last Trade Date, February 08, 2024
Ultimus Fund Distributors, LLC/Day Hagan Funds No. 3317
- The following firms will begin participation in the Alternative Investment Product services, as detailed below:
First Trade Date, February 07, 2024
Opus Fund Services (USA) LLC/Northeast-PTP SPV Fund IV (3(C)(1)), LP No. 19IQ
Invesco Distributors, Inc./Invesco Commercial Real Estate Finance Trust No. 19JS
Bridge Fund Financial Services LLC/Bridge Workforce And Affordable Housing Fund LP No. 19JU
EAS Accounting Services, CPA LLC/Dariohealth Corp. No. 19JW
- First Trade Date, February 09, 2024**
NESF Investment Services, LLC/Griffin Capital Qualified Opportunity Zone Fund IV, LP No. 19KK
- First Trade Date, February 12, 2024**
Alerion Investment Managers II, LLC No. 17RW

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Non-Confidential

First Trade Date, February 13, 2024

Eagle Capital International LLC	No.	19JV
Fairway America, LLC/Fairway America Dre Manager Accelerator Fund - Fund XII LLC	No.	19KL
Eagle Point Credit Management LLC/Eagle Point Enhanced Income Trust	No.	19KM
Alter Domus (US) LLC/Alta Point Fund I, LP	No.	19KR
Nav Consulting Inc/Knightsbridge Asset Management, LLC	No.	19KV
Nav Consulting Inc/Prairie Wealth Advisors	No.	19KU

First Trade Date, February 14, 2024

Vista Credit Strategic Lending Corp.	No.	19JZ
US Bancorp Fund Services LLC/Rio Grande Wilshire Alternative Yield Solutions Offshore FU	No.	19KP
US Bancorp Fund Services LLC/Rio Grande Wilshire Alternative Yield Solutions Fund, LP	No.	19KQ

- The following additional number has been assigned to Empower Life & Annuity Insurance Company of New York (#4736) for the purpose of utilizing Networking and DTCC Payment services, as detailed below:

First Trade Date, February 14, 2024:

Empower Life & Annuity Insurance Company of New York /IS02	No.	1297
Contact: Raymond Castro		
Phone: (303) 737-1343		

- The following additional number has been assigned to Empower Annuity Insurance Company of America (#4735) for the purpose of utilizing Networking and DTCC Payment services, as detailed below:

First Trade Date, February 14, 2024:

Empower Annuity Insurance Company of America/PNP	No.	1298
Contact: Raymond Castro		
Phone: (303) 737-1343		

- The following additional number has been assigned to Empower Annuity Insurance Company of America (#4735) for the purpose of utilizing Networking and DTCC Payment services, as detailed below:

First Trade Date, February 14, 2024:

Empower Annuity Insurance Company of America/IS02	No.	1299
Contact: Raymond Castro		
Phone: (303) 737-1343		

- The following additional number has been assigned to Empower Life & Annuity Insurance Company of New York (#4736) for the purpose of utilizing Networking and DTCC Payment services, as detailed below:

First Trade Date, February 14, 2024:

Empower Life & Annuity Insurance Company of New York /PNP

No. 1305

Contact: Raymond Castro

Phone: (303) 737-1343

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, February 09, 2024</u>							
BNP Paribas Securities Corp.	BNPS	2154	PARI	✓			
Metropolitan Capital Investment Banc, Inc.	NFSC	0226	MCIB		✓	✓	
<u>Add First Trade Date, February 07, 2024</u>							
Aurora Securities	NFSC	0226	ASII	✓			
Davinci Capital Management, Inc.	NFSC	0226	DCMI	✓			
NYLife Securities Inc.	NFSC	0226	NYLS	✓			
<u>Add First Trade Date, February 08, 2024</u>							
Allstate Financial Services, LLC	NFSC	0226	LAGI	✓			
<u>Add First Trade Date, February 09, 2024</u>							
Brinker Capital Securities, LLC	NFSC	0226	BRNK	✓			
WBB Securities, LLC	NFSC	0226	WBBC	✓			
<u>Add First Trade Date, February 12, 2024</u>							
Worth Financial Group Inc	NFSC	0226	ACCI	✓			
Fintrust Brokerage Services, LLC	NFSC	0226	ACSL	✓			
AC Sunshine Securities LLC	CURV	3450	ACSS	✓			
Prime Number Capital LLC	CURV	3450	PPNY	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.