



Important Notice
National Securities Clearing Corporation

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| A#: | 9457 |
| P&S #: | 9030 |
| Date: | 07/05/2024 |
| To: | ALL PARTICIPANTS |
| Attention: | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS |
| From: | CLIENT ACCOUNT SERVICES |
| Subject: | CHANGES IN THE LIST OF PARTICIPANTS |

The following update from Important Notice A#9455 (P&S#9028) dated June 28, 2024 has been cancelled:

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

| | <u>Clearing Broker</u> | | <u>Executing Broker</u> | | <u>Products OTC/CMU</u> | | |
|---|------------------------|----------------|-------------------------|------------|-------------------------|-------------|------------|
| | <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>OTC</u> | <u>Corp</u> | <u>Muni</u> | <u>UIT</u> |
| <u>Delete Last Trade Date, June 28, 2024</u> | | | | | | | |
| Rice Securities, LLC | RBCD | 0235 | RICJ | ✓ | ✓ | ✓ | ✓ |

The following update from Important Notice A#9455 (P&S#9028) dated June 28, 2024 has been revised as follows:

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 12, 2024:

| | | |
|------------------------------|-----|------|
| TD Ameritrade Clearing, Inc. | No. | 0188 |
| OTC Alpha Identifier | | FOMA |
| MUNI Alpha Identifier | | FOMA |
| CORP Alpha Identifier | | TDAR |
| UIT Alpha Identifier | | TDAR |

As a result, effective July 18, 2024, Charles Schwab & Co., Inc. (#0164) will assume all open contracts for TD Ameritrade Clearing, Inc. (#0188), which are to be cleared or settled through or by National Securities Clearing Corporation.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

- The following firm will no longer participate in Networking, ACATS Fund/Serv and MF Daily Price & Rate services, as detailed below:

Last Trade Date, July 5, 2024:

Forside Distribution Services, L.P./Monte Total Return Fund No. 3753

- The following firm will change their name only, as detailed below:

First Trade Date, July 8, 2024:

From: PI Financial Corp./CDS No. 5075

To: Ventum Financial Corp./CDS No. 5075

- The following firm will begin participating in the Insurance Processing services, as detailed below:

First Trade Date, July 11, 2024:

&Partners, LLC No. 1680

- The following firms will begin participation in the Alternative Investment Product service, as detailed below:

First Trade Date, July 3, 2024

NESF Investment Services, LLC/Arcis Technology Growth Fund L.P. No. 1BRK

First Trade Date, July 5, 2024

Ultimus Private Fund Solutions, LLC/M83 Income Fund, LLC No. 1BSF

First Trade Date, July 8, 2024

SS&C Technologies, Inc./Rosedale Capital LP No. 1BSG

SS&C Technologies, Inc./CKC Strategic Advantage Fund, LTD. No. 1BSH

SS&C Technologies, Inc./CKC Strategic Advantage Fund, LP No. 1BSJ

First Trade Date, July 9, 2024

Inspired Healthcare Capital, LLC/IHC Development Fund III, LLC No. 1BU9

First Trade Date, July 10, 2024

North Capital Administrator Services Inc./Iselect Fund B – St. Louis, LLC No. 1BUE

North Capital Administrator Services Inc./Iselect Fund – St. Louis, LLC No. 1BUG

North Capital Administrator Services Inc./Iselect Innovation Fund (B) I, LLC No. 1BUH

North Capital Administrator Services Inc./Iselect Innovation Fund I, LLC No. 1BUI

North Capital Administrator Services Inc./Iselect Qualified Purchaser Fund, LLC No. 1BUK

- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF Daily Price & Rate services as detailed below:

First Trade Date, July 3, 2024:

UMB Distribution Services, LLC/Sweater Cashmere Fund No. 1691

Contact: Darren Siverling

Phone: (414) 299-2160

- The following additional number has been assigned to Russell Investments Financial Services, LLC (#5762) for the purpose of utilizing Fund/SERV, Networking, Omni/SERV Transparency, ACATS Fund/SERV and MF Daily Price & Rate services as detailed below:

First Trade Date, July 15, 2024:

Russell Investments Financial Services, LLC/Venerable Variable Insurance Trust No. 1625
 Contact: Monique Pecchia
 Phone: (206) 505-2543

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

| | <u>Clearing Broker</u> | | <u>Executing Broker</u> | <u>OTC</u> | <u>Products OTC/CMU</u> | | <u>UIT</u> |
|---|------------------------|----------------|-------------------------|------------|-------------------------|-------------|------------|
| | <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | | <u>Corp</u> | <u>Muni</u> | |
| <u>Delete Last Trade Date, July 1, 2024</u> Rice, Voelker, LLC | RBCD | 0235 | CEON | ✓ | ✓ | ✓ | ✓ |
| <u>Add First Trade Date, July 4, 2024</u> Allegro Securities LLC | IBKR | 0534 | ALEG | ✓ | | | |
| <u>Add First Trade Date, July 9, 2024</u> Univest Securities, LLC | CURV | 3450 | UNIS | ✓ | | | |

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.