



Important Notice
National Securities Clearing Corporation

A#:	9446
P&S #:	9019
Date:	06/14/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 14, 2024

Securities America, Inc.

No. 0590

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Osaic Wealth, Inc (#0089) for the purpose of utilizing Networking, and DTCC Payment aXis services, as detailed below:

First Trade Date, June 17, 2024

Osaic Wealth, Inc./Osaic Inc. Sai 1

No. 0590

Contact: Mark Gross

Phone: (770) 858-6822

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 14, 2024

Securities America, Inc./Securities Service Network

No. 0247

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Osaic Wealth, Inc (#0089) for the purpose of utilizing DTCC Payment aXis services, as detailed below:

First Trade Date, June 17, 2024

Osaic Wealth, Inc./Osaic Inc. Sai 3

No. 0247

Contact: Mark Gross

Phone: (770) 858-6822

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 14, 2024

Securities America, Inc./KMS Financial Services No. 4835

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Osaic Wealth, Inc (#0089) for the purpose of utilizing Fund/SERV and DTCC Payment aXis services, as detailed below:

First Trade Date, June 17, 2024

Osaic Wealth, Inc./Osaic Inc. Sai 2 No. 4835

Contact: Mark Gross

Phone: (770) 858-6822

- The following firm will no longer participate in the Networking service, as detailed below:

Last Trade Date, June 14, 2024:

The Vanguard Group, Inc. No. 7103

- The following firm will begin participation in the MF Profile Service, as detailed below:

First Trade Date, June 17, 2024:

Arbor Court Capital LLC No. 7203

- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

First Trade Date, June 14, 2024

Great Lakes Fund Solutions, Inc./Greywater Capital No. 1BEN

SS&C Technologies, Inc./Kerrisdale Partners Offshore, LTD. No. 1BF9

SS&C Technologies, Inc./Sagefield Multi-Strategy Offshore Fund LTD No. 1BG2

SS&C Technologies, Inc./GT Partners Lone Cypress Offshore Fund, LP No. 1BG6

First Trade Date, June 18, 2024

North Capital Administrator Services Inc./Arion Fund Lending, LP No. 1BHO

First Trade Date, June 20, 2024

SS&C Technologies, Inc./Ambrose Fund IV, LP No. 1BJW

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and Daily Price & Rate services as detailed below:

First Trade Date, June 17, 2024:

Foreside Fund Services, LLC/Catalyst Strategic Income Opportunities No. 1567

Contact: Casey Sauer

Phone: (414) 203-9122

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 20, 2024:

State Street Bank and Trust Company/Alight Solutions/PWC 401K No. 1619

Contact: Brent Blake

Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 20, 2024:

State Street Bank and Trust Company/NRS/PWC DC Inv Trust No. 1641

Contact: Brent Blake

Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 20, 2024:

State Street Bank and Trust Company/Alight Solutions/PWC PR No. 1653

Contact: Brent Blake

Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 20, 2024:

State Street Bank and Trust Company/Alight Solutions/PWC WB No. 1661

Contact: Brent Blake

Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 20, 2024:

State Street Bank and Trust Company/Alight Solutions and SEI/PWC RBAP No. 1664

Contact: Brent Blake

Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 21, 2024:

State Street Bank and Trust Company/NRS/PWC RAPP No. 1590

Contact: Brent Blake
 Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 21, 2024:

State Street Bank and Trust Company/SEI/PWC RABBI No. 1597
 Contact: Brent Blake
 Phone: (617) 985-8547

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, June 21, 2024:

State Street Bank and Trust Company/SEI/PWC XP No. 1656
 Contact: Brent Blake
 Phone: (617) 985-8547

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, June 13, 2024</u>							
ST Invest LLC	PFCL	0158	TWIT	✓			
RD Capital Group, Inc.	PERS	0443	RDCG		✓		✓
<u>Add First Trade Date, June 11, 2024</u>							
ThinkEquity, LLC	VLNT	4305	THNK	✓			
<u>Add First Trade Date, June 17, 2024</u>							
Elequin Securities LLC	PERS	0443	ELQN		✓	✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.