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Date:	February 14, 2025
To:	Participants
Category:	Securities Processing Service Update
From:	DTC Asset Services Product Management Team
Attention:	Officers / Operations Managers / Business Continuity Managers
Subject:	User Roles Required for Testing and Production Readiness for DRS Transaction Processing and API Adoption

On November 21, 2024, through Important Notice B#21023-24, The Depository Trust Company (“DTC”) announced the next phase of its Direct Registration System (“DRS”) Transformation. The transformation includes the retirement of PTS/PBS functions, CCF files, as well as the implementation strategy and schedule. These changes are part of DTC’s multi-year, multi-phase Securities Processing Transformation Program.

The transformation of the DRS system, pending regulatory approval, progresses through a phased rollout of component functionalities at scheduled intervals.

The Profile Deposits and Profile Deposit API functions are now available in the Participant Simulated Environment (“PSE”) test region of the Securities Processing Application (“SPA”) system.

Access and entitlements to the SPA system must be provisioned by the user’s designated Super Access Coordinator (“SAC”). If SACs have any questions about how to provision access through the DTCC Portal in the Customer Registration System (CRS), they can email DTCIntegration@dtcc.com for assistance.

The following DRS entitlements are listed in SPA (refer to the chart below for details).

- SPA DRS Profile Deposit Participant Inquiry
- SPA DRS Profile Deposit Participant Update
- SPA DRS Profile Deposit Participant API

SACs should provision access for their Users (Operators) to both DTC’s PSE (Test) and Production regions.

New SPA Role	SPA Description	SPA Navigation	PTS Role	PBS Role
SPA DRS Profile	This role allows a Participant user to view	MyDTCC.com>Securities Processing Application>Transactions>DRS>Inquiry	DRSI	Direct Registration

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Deposit Participant Inquiry	DRS Profile Deposit and Deliver Order instructions data within the Securities Processing application.			System Inquiry
SPA DRS Profile Deposit Participant Update	This role allows a Participant user to perform DRS Profile Deposit updates (including Creating or Canceling instruction) within the Securities Processing application.	MyDTCC.com>Securities Processing Application>Transactions>DRS>Create Profile Deposit	DRSP	Direct Registration System Profile Modification (Participant)
SPA DRS Profile Deposit Participant API	This role allows a Participant Robot Persona to perform Inquiry and Update operations on DRS Profile Deposit instruction via APIs within the Securities Processing application.	New near Real-Time B2B APIs accessible via the DTCC API Marketplace	N/A	N/A

For information regarding DRS Profile Deposit API adoption, visit dtcc.com and navigate to Resources > API Marketplace > Request Information > DRS Profile Deposit, enter your contact details and click the "Contact Sales" button to open a case with DTCC Integration, who will then contact you to begin the process. Legacy CCF files will be retired with the November 2025 go-live, so all users of those files will have to migrate to the corresponding APIs by that date. DTC encourages firms to begin this process immediately to allow for testing and development.

Detailed descriptions of new user access roles and entitlements will be announced before each component functionality PSE date through separate Important Notices. After provisioning, functionality testing for user access entitlements will be available with each component rollout and will continue until the go live. Firms must enable users at each component interval to ensure a smooth onboarding experience.

Please direct any questions you may have to your Relationship Manager.