



Important Notice

The Depository Trust & Clearing Corporation

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|-------------------|---|
| A#: | 9675 |
| P&S #: | 9248 |
| Date: | 11/14/2025 |
| To: | ALL PARTICIPANTS |
| Attention: | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS |
| From: | CLIENT ACCOUNT SERVICES |
| Subject: | CHANGES IN THE LIST OF PARTICIPANTS |

- The following firms will begin participating in the Alternative Investment Products service, as detailed below:

First Trade Date, November 14, 2025:

UMB Fund Services, Inc./Pursuit Asset-Based Income Fund CL I No. 1RTC
The HIIVE Company Limited/HII Kraken Series I, A Series Of HII Kraken, LLC No. 1RVB

First Trade Date, November 17, 2025:

ARES Diversified Real Estate Exchange LLC/ADREX Diversified 10 DST No. 1RPP
ARES Diversified Real Estate Exchange LLC/ADREX Signature Series DST No. 1RPQ

First Trade Date, November 18, 2025:

Audax Management Company (NY), LLC No. 1RDZ
Society Hill Capital Advisor LLC No. 1RTQ
Inland Real Estate Investment Corporation/Inland Zero Coupon Utility DST No. 1RWB

- The following firms will begin participation in the Insurance Processing services as detailed below:

First Trade Date, November 20, 2025:

PWA Securities, LLC No. 3921

First Trade Date, November 21, 2025:

Maplewood Investments, Inc. No. 3925

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Non-Confidential

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

| | <u>Clearing Broker</u> | | <u>Executing Broker</u> | | <u>Products OTC/CMU</u> | | | <u>UIT</u> |
|---|------------------------|----------------|-------------------------|------------|-------------------------|-------------|---|------------|
| | <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>OTC</u> | <u>Corp</u> | <u>Muni</u> | | |
| <u>Clearing Broker Change, Effective First Trade Date, November 10, 2025</u> | | | | | | | | |
| From: United First Partners, LLC | NFSC | 0226 | UFPF | ✓ | ✓ | | | |
| To: United First Partners, LLC | RBCD | 0235 | UFPF | ✓ | ✓ | | | |
| <u>Clearing Broker Change, Effective First Trade Date, November 12, 2025</u> | | | | | | | | |
| From: Jump Trading, LLC | PRST | 0551 | JMPT | ✓ | | | | |
| To: Jump Trading, LLC | MLCO | 0161 | JMPT | ✓ | | | | |
| <u>Clearing Broker Change, Effective First Trade Date, November 14, 2025</u> | | | | | | | | |
| From: CAVU Securities LLC. | PERS | 0443 | CAVU | | ✓ | | ✓ | |
| To: CAVU Securities LLC. | LGNT | 0052 | CAVU | | ✓ | | ✓ | |
| <u>Delete Last Trade Date, November 10, 2025</u> | | | | | | | | |
| Puente Servicios Financieros LLC | IBKR | 0534 | PSFL | ✓ | | | | |
| <u>Delete Last Trade Date, November 13, 2025</u> | | | | | | | | |
| CAVU Securities LLC | PERS | 0443 | CAVU | | | ✓ | | |
| IBKR Securities Services LLC | IBKR | 0534 | TMBR | ✓ | | | | |
| <u>Delete Last Trade Date, November 17, 2025</u> | | | | | | | | |
| ICAP Corporates LLC | MLCO | 0161 | LOUE | ✓ | ✓ | ✓ | ✓ | |
| <u>Add First Trade Date, November 11, 2025</u> | | | | | | | | |
| Erudite Trading LLC | SHMR | 0695 | EDAA | ✓ | | | | |
| <u>Add First Trade Date, November 12, 2025</u> | | | | | | | | |
| Celadon Financial Group LLC | CURV | 3450 | CFGC | ✓ | | | | |
| <u>Add First Trade Date, November 17, 2025</u> | | | | | | | | |
| James Fox Securities, Inc. | WSGD | 8199 | JFSI | ✓ | ✓ | ✓ | | |

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.