



**Important Notice**  
The Depository Trust Company

<b>B #:</b>	22852-25
<b>Date:</b>	9/16/2025
<b>To:</b>	All Participants
<b>Category:</b>	Securities Processing Updates
<b>From:</b>	Asset Services Product Management
<b>Attention:</b>	Officers / Cashiers / Operations Management
<b>Subject:</b>	Removal of Expired Rights and Warrants Positions

On September 14, 2023, The Depository Trust Company (“DTC”) filed a proposed rule change (SR-DTC-2023-009) (“Rule Filing”) with the Securities and Exchange Commission pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change was effective upon filing but will not become operative until later this month.

The Rule Filing amends the DTC Operational Arrangements (Necessary for Securities to Become and Remain Eligible for DTC Services) (“OA”) to allow DTC to delete the Participant positions and dispose of the underlying certificates, if any, for a warrant or right that is past its expiration date as reflected on DTC books and records (“Expiration Date”), provided that DTC did not receive a notice of extension of the Expiration Date from the Agent or Issuer.

Beginning on or around October 15th, 2023, DTC operations staff commenced reviewing and removing Participant’s positions in expired right and warrant securities. Any certificates representing those positions will be destroyed following the removal of position.

The below CUSIP’s are targeted for position removal and destruction on or around December 2025.

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<b>CUSIP</b>	<b>Description</b>
Q11603117	AUSTRALIS MEDIA LTD WT
U37397111	GEOVIC MINING CORP WTS REG -S ON SHS
004913117	ACQUISITION INDS INC WT CL A EXP
004913125	ACQUISITION INDS INC WT CL B EXP
00753J131	ADVANCED ENERGY RECOVERY INC WT CL B EXP
00754J114	ADVANCED PRODS & TECHNOLOGIES WT CL A EXP
007928138	ADVANCED VIRAL RESH CORP WT CL C EXP
012684114	ALBEMARLE FIRST BK CHARLOTTE SV WT EXP
01643P113	ALL-AMERN SPORTPARK INC WT CL A EXP
024898124	AMERICAN CAP CORP WT SER C EXP
025207127	AMERICAN COMPLEX CARE INC WT NEW EXP
026909127	AMERICAN INTL PETE CORP WT CL A EXP
027528124	AMERICAN MIDLAND CORP WT EXERCISABLE \$1 EXP
028866127	AMERICAN PHARMACEUTICAL CO WT CL B EXP
028866135	AMERICAN PHARMACEUTICAL CO WT CL C EXP
029579125	AMERICAN SENSORS INC WT EXP
030344121	AMERICAN UNITED GLOBAL INC WT EXP
03061G112	AMERICASBANK CORP WT EXP
031170129	AMGLOBAL CORP WT CL B EXP
034755116	ANGIO MED CORP WT EXP
037915113	APPLIED CTL SYS INC WT EXP
03838P114	AQUANATURAL CO WT EXP
052712114	AUTO DEPOT INC WT CL A EXP
054308119	AVON RENT-A-CAR & TRUCK CORP WT CL A EXP
055013114	AZUREL LTD WT EXP
06808T115	BARNEYS N Y INC WT EXP
070121116	BASIX CORP WT \$0.40
070121124	BASIX CORP WT EXCHANGEABLE \$0.15
07133AAJ1	BATTERY PK CITY AUTH N Y REV PUT-PAR PMT 7/2/93
075649111	BECKER GAMING INC WT EXP
081791121	BENEFUND INC WT CL B EXP
085845113	BERTHEL FISHER & CO LEASING IN WT EXP
087822110	BEV-TYME INC WT SER C EXP
09056E111	BIO-DYNE CORP WT EXP
09057B116	BIO-NUTRIONICS INC WT CL A EXP
105031116	BRAKE HEADQUARTERS U S A INC WT CL A EXP
121866115	BURLINGTON MTR CARRIERS INC WT EXP

14075T115	CARACO PHARMACEUTICAL LABS LTD WT SER A EXP
148751134	CATADYNE CORP WT CL A EXP
148751142	CATADYNE CORP WT CL B EXP
149109118	CATCH A RISING STAR INC WT CL A EXP
151008117	CELERITY COMPUTING WT EXP
15114K122	CELL ROBOTICS INTL INC WT EXP
153780AB7	CENTRAL JERSEY INDS INC NT SER H
159611136	CHARGE INC WT CL A NEW EXP
16444L129	CHEROKEE INC NEW WT SER B EXP
16444L137	CHEROKEE INC NEW WT SER C EXP
190633115	COASTWIDE ENERGY SVCS INC WT CL B EXP
206763112	CONDOR CAP INC WT CL A EXP
218103117	CORAM HEALTHCARE CORP CDT-WT EXP
222361115	COUNTRY STAR RESTAURANTS INC WT EXP
232828111	LADRX CORP WT CL A EXP
23922E114	DAWCIN INTL CORP WT EXP
24661P112	DELCATH SYS INC WT EXP REDEEMABLE
255343113	DIVERSIFIED STRATEGIES INC WT EXP
268902111	ERC INDS INC WT EXP
26933E114	E Z SERVE CORP/TEJAS PWR CORP RT PUR 1 COM E-Z SERVE & 1 COM
286128111	ELECTROPHARMACOLOGY INC WT EXP
293636114	ENTERACTIVE INC WT EXP
29381L119	ENTERRA ENERGY CORP WT EXP
30242M114	FFP PARTNERS L P RT
307056119	FAMILY SHOWTIME THEATRES INC WT EXP
319964110	FIRST CMNTY BANCSHARES INC IND WT EXP
320135130	FIRST EXECUTIVE CORP DEL WT PUR 1/2 COM EXP
320431992	FIRST GROWTH INVS INC WT
320737117	FIRST LOOK MEDIA INC WT EXP
337400121	FIRST UN REAL ESTATE EQUITY & RT
344435110	FONAHONE CORP WT EXP
36230E121	GSI TECHNOLOGIES USA INC WT EXP
36237R117	GVS MED INC WT EXP
364661116	GAMOGEN INC WT EXP
37957Q123	GLOBUS CELLULAR LTD CMT-WT CL B EXP
385521125	GRAND HAVANA ENTERPRISES INC WT CL B EXP
395733116	GREENSTONE INDS INC WT EXP
421939117	HEALTHCARE INTEGRATED SVCS INC WT EXP

42221M114	HEALTHTECH INTL INC WT CL A EXP
42233G113	HEART LABS AMER INC WT EXP
42368R116	HEMMETER ENTERPRISES INC WT EXP
42368R124	HEMMETER ENTERPRISES INC WT EXP
431108125	HIGHLINE INDS INC WT EXP
437851116	HOMESTEAD VLG INC WT EXXP
449725118	IMT INC CL B WT EXP
449725126	IMT INC CL A WT EXP
45321G119	IMPRINT RECORDS INC WT EXP
456816115	INFOVIEW INC WT EXP
457983112	INMET MNG CORP WT EXP
45838F117	INTERACTION MEDIA CORP WT EXP
45838G115	INTERACTIVE MULTIMEDIA NETWORK WT EXP
459216115	INTERNATIONAL CABLETEL INC WT EXP
459220117	INTERNATIONAL CANINE GENETICS WT EXP
460697139	INTERSEARCH GROUP INC WT CL A NEW EXP
470760117	JAN BELL MARKETING INC WT EXP
477127112	JET SET LIFE USA INC WT EXP
477415111	JIFFY LUBE INTL INC WT EXP
480686112	JORDAN AMERN HLDGS INC WT EXP
500634118	KOREA FD INC RT
521707125	LEADING EDGE INDS INC WT CL B EXP
524668118	LEGGOONS INC WT CL A EXP
539570119	LOCATEPLUS HLDGS CORP WT EXP
543909113	LORD ABBOTT INC WT EXP
550665111	LUXCEL GROUP INC WT CL A EXP
550665129	LUXCEL GROUP INC WT CL B EXP
559603113	MAGNOLIA FOODS INC WT EXP
58117F112	MCHENRY METALS GOLF CORP CDT-WT EXP SER A
59507T118	MICROLEAGUE MULTIMEDIA INC WT EXP
60740F113	MOBILE MINI INC WT EXP
611769126	MONT ROUGE RES INC WT CL B EXP
6287EP114	NB ACQUISITIONS INC WT EXP SER A
6287EP122	NB ACQUISITIONS INC WT EXP SER B
6287EP130	NB ACQUISITIONS INC WT EXP SER C
6287EP148	NB ACQUISITIONS INC WT EXP SER D
629300112	NMXS COM INC OC-WT SER A EXP
62944R117	N VISION INC WT CL A EXP
64110S127	NETCRUISE COM INC WT EXP CL B

64114W11 6	NETSMART TECHNOLOGIES INC WT SER A EXP
64988HDH 4	NEW YORK ST MED CARE FACS FIN PUT-PAR PMT 7/2/93
650146111	NEW YORKER MARKETING CORP WT CL A EXP
67065M128	NUWAVE TECHNOLOGIES INC WT CL A EXP
686270117	ORION DIVERSIFIED TECHNOLOGIES WT CL A EXP
686270125	ORION DIVERSIFIED TECHNOLOGIES WT CL B EXP
686282112	ORION NETWORK SOLUTIONS INC WT CL A EXP
699163119	PARAMARK ENTERPRISES INC WT CL A EXP
699163127	PARAMARK ENTERPRISES INC WT CL B EXP
707058178	PENGO INDS INC WT \$3 EXP
709766117	PEOPLE RIDESHARING SYS INC WT CL A EXP
717113112	PHAR-MOR INC WT EXP
724339114	PIT STOP AUTO CTRS INC WT EXP
73932F114	POWEREX CORP WT CL A EXP
74060G114	PREMIUM RESTAURANT CO WT EXP
743958126	PROTOSOURCE CORP WT EXP NEW
743958142	PROTOSOURCE CORP WT CL B EXP
749360137	RCM TECHNOLOGIES INC WT CL C EXP
74955G112	R F MGMT CORP WT CL A EXP
74955G120	R F MGMT CORP WT CL B EXP
750895112	RAINSHINE CORP WT SER A EXP
771044AD6	ROBLIN INDS INC SUB DEB CONV
783895139	SCI TELEVISION INC WT CL B
79549C154	SALOMON SMITH BARNEY HLDGS INC CALL WT ON 2000 TEN+ INDEX EXP
803902113	SATELLITE DATA INC WT EXP
812557114	SEATRAN LINES INC WT EXP
833953110	SEATRAN LINES INC WT EXP WT PUR 1/2 COM EXP
844552117	SOUTHMARK SAN JUAN INC WT EXP
866171119	SUMMIT NATL BK TORRINGTON CONN WT PUR 1/2 COM EXP
870811122	SWISHER INTL INC WT EXP
87942T112	TELELINK CORP WT CL A EXP
87943E148	TELEPAD CORP WT CL C
87943P127	TELENETICS CORP WT CL B EXP
879687119	TELMED INC WT EXP
879920130	TEMBEC INC CONTINGENT VALUE RT
880232129	TEMPORARY TIME CAP CORP WT CL B EXP
882906118	TEXOIL INC NEV WT CL A EXP

882906126	TEXOIL INC NEV WT CL B EXP
890744113	TOPOLOGIX INC WT EXP
893349167	TRANS WORLD AIRLS INC WT EXP
89351V133	TRANSAMERICAN WASTE INDS INC 1993 BRIDGE WT EXP
893745117	TRANSLATION GROUP LTD WT EXP
899039119	TUFCO INTL INC WT CL A EXP
902639111	UC TELEVISION NETWORK CORP WT CL A EXP
90336P118	U S MED PRODS INC WT CL A EXP
909163115	UNIROYAL TECHNOLOGY CORP WT EXP
912787157	U S TRANSN SYS INC WT CL A EXP
91359C117	UNIVERSAL HEIGHTS INC WT EXP
923218226	VENTURA ENTMT GROUP LTD WT CL E EXP
926286113	VICTORIA STA INC WT
926554114	VIDEO DATA NETWORK INC WT SER A EXP
92657X126	VIDEOFLOCKS COM INC WT SER B EXP
929741114	WACCAMAW BANKSHARES INC WT EXP
936902113	WASATCH PHARMACEUTICAL INC UTA WT EXP CL A
936902121	WASATCH PHARMACEUTICAL INC UTA WT EXP CL B
941832115	WATERMARC FOOD MGMT CO WT SER A EXP
98138R125	WORKSAFE INDS INC WT CL B EXP
98463B117	YAMANA RES INC WT EXP
973411127	WINDMERE CORP CDT-WT EXP
981499114	WORLD OF COMPUTERS INC WT EXP
988160115	YSEEK INC WT EXP