



B #:	B24066-26
Date:	04/16/2026
To:	DTC Clients
Category:	Reorganization, Operations
From:	DTC Product Management
Attention:	Corporate Actions Manager / Managing Director / Cashier
Subject:	SMART/Track for Corporate Actions Liability Notices Service Enhancement

As referenced in Important Notice B23993-26, DTC is implementing the second of two enhancements to the Smart Track for Corporate Action Liability Notices service.

- The enhancement to restore archived notices upon extension of expiration/protect dates is scheduled to go live the evening of April 16th, 2026.

Screenshots for the updated audit trail entry and summary of the enhancement can be seen below in the Appendix.

Questions regarding this Important Notice can be directed to your relationship manager.

APPENDIX

Archived notices will now be eligible for to the new “Restore” functionality when there is a RIPS event to extend the Expiration Date. The notice will now be reprocessed (restored) and move from a notice status of “Archived” to “Active”, retaining the most recent details and defaulting to the most recent processing status prior to the initial archiving event (e.g. Accepted notices will be restored to the Accepted bucket). The functionality will be accompanied by an associated audit log record and email notification. This enhancement will eliminate the need to create a new notice for items that have been archived, providing more holistic tracking via the audit trail and limiting most activity to one record per liability. Receivers should review restored notices to ensure they would like to continue with the existing/restored status.

Archived Notice Search Criteria Required

Notices: Received Transmitted Status: Archived

Security ID: G3402M102 Security Identifier Type: CUSIP

Counterparty ID:

Note: All dates are in the format mm/dd/ccyy

[Reset](#) [Search](#)

Search Results for: Received and Transmitted Notices

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Notice List Sort

Security ID	Security Identifier Type	Security Desc	Participant ID	Reduced Quantity	Notice Designation	Notice Status	Processing Status	Notice Transmitted Date	Liability Quantity	DTC Expiration Date	DTC Protect Expiration Date
G3402M102	CUSIP	[REDACTED]	161	No		Archived	Accepted	10/28/2025	125		10/23/2024
G3402M102	CUSIP	[REDACTED]	5	No		Archived	Accepted	10/28/2025	245		
G3402M102	CUSIP	[REDACTED]	5208	No		Archived	Accepted	11/04/2025	176		10/24/2025
G3402M102	CUSIP	[REDACTED]	161	No		Archived	Accepted	11/27/2025	76,543	11/28/2025	

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No More Records Available

General Notice Information

Notice Status: Open Processing Status: Accepted

Participant ID: [REDACTED] Participant Name: [REDACTED]

Counterparty ID: [REDACTED] Counterparty Name: [REDACTED]

Preparer First Name: [REDACTED] Preparer Last Name: [REDACTED]

Preparer Telephone: [REDACTED] Reduced Quantity: No

DTC Control ID: [REDACTED]

General Security Information

Security ID: G3402M102 Security Identifier Type: CUSIP

Security Description: [REDACTED]

Notice Log

Action	Date/Time	User Id	User Name	Comments
Email Sent	03/26/2026 01:21 AM	[REDACTED]	[REDACTED]	INTRADAY EMAIL
	03/26/2026 01:19 AM	[REDACTED]	[REDACTED]	RESTORED TO ACTIVE
Archived	10/28/2025 05:30 PM	[REDACTED]	[REDACTED]	SYSTEM ARCHIVED NOTICE
Email Sent	10/28/2025 09:02 AM	[REDACTED]	[REDACTED]	INTRADAY EMAIL
Accepted	10/28/2025 06:10 AM	[REDACTED]	[REDACTED]	
Submitted	10/28/2025 06:08 AM	[REDACTED]	[REDACTED]	

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