



IMPORTANT NOTICE

National Securities Clearing Corporation

A #:	9749
P&S:	9322
Date:	April 23, 2026
To:	ALL MEMBERS AND LIMITED MEMBERS
Category:	FUND SOLUTIONS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	Mutual Fund Profile Security Enhancements – Collective Investment Trust (CIT) and Trump Account Social Code

Effective **Monday, July 27, 2026**, National Securities Clearing Corporation (NSCC) will implement **enhancements to the Mutual Fund Profile Service II – Profile Security Database (Profile Security)**. The enhancements summarized below will be included in this release. Details illustrating the specific enhancements are included in the attached Appendix.

The Appendix is for informational purposes and may not represent the final changes. NSCC reserves the right to make additional changes prior to implementation.

A. Collective Investment Trust (CIT) Products

The following data points will be introduced to support CIT Products.

I. New CIT Fund Data Points

- CIT Products Only
- CIT Product Type Code
- CIT EIN
- DOL 5500 Plan Number
- Stable Value - Market-to-Book Calculation Required upon redemption
- Stable Value - Put Requirement
- Stable Value - Put Requirement Time Required
- Joinder/Participation Agreements Contact
- Pre-funding Contacts
- Post-funding Contact
- Exiting Trade Contact
- B50 eligibility

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- B51 eligibility
- B52 eligibility
- Direct Filing Entity (DFE)
- CIT Offer statement date or restatement date
- Large Trade Notification - Advance Notice Time Cutoff
- Large Trade Notification - Number of Days Advance Notice Needed

II. Batch File Updates

New **Record Type 036 - CIT Fund Information** will be added to Profile Security Autoroute - 02110824 (MFPS II - Global Security) and 02110825 (MFPS II - Specific Security) output files.

III. New Data Validation Warnings

New validation errors and warnings will be created within the Profile Security User Interface Data Quality section and displayed in the Fund Details section when the new data point/fields do not meet validation rules.

Note: To provide clients with sufficient time to update their CIT Fund data in Profile Security without impacting their quality index score, these validation warnings will not be initially generated. DTCC will issue a separate communication to advise when these validation warnings will commence.

IV. Reports

Reports will be updated to include the new CIT Fund data points and Trump Account Social Code.

B. Trump Account Social Code

The One Big Beautiful Bill Act of 2025 introduced a provision for the establishment of Trump Accounts for children under the age of 18. A new social code will be added to provide the required transparency for clients to identify an account as a Trump Account.

Batch file clients are required to make programming changes to their systems to process and receive these changes. Clients should consult with their programmers to determine the method used to currently take in or download these output files to avoid disruption to their file processing.

DOCUMENTATION

Updated documentation will be available on the DTCC Learning website at <https://dtcclearning.com/> **on or about Monday, June 22, 2026**. An email notification will be sent when the revised documentation has been posted to the website.

TESTING

Effective Monday, June 30, 2026, clients can begin testing the enhancements in the Participant Services Environment (PSE) test region. Clients are requested to test and must

contact their Relationship Manager before testing, if access to the PSE region has not been previously established.

Questions and comments regarding this Important Notice may be directed to your Relationship Manager or Wealth & Investment Solutions by visiting the [DTCC Client Center](#).

Peter Reist
Product Manager

DTCC Wealth Management Services

Appendix
MFPS II – Profile Security Enhancements
(Web User Interface and Batch Files)

The following new data points will be added to the Fund Details section of the User Interface in **Profile Security II**, allowing Funds to populate new CIT product-sensitive information and provide transparency to Firms and Funds.

Clients are strongly encouraged to review and update the mapping on their systems to the appropriate data points when communicating through NSCC.

A. CIT Enhancements

User Interface Enhancements - New Data Point Attributes and Values

The User Interface will be updated to include new CIT Fund specific data points, values, and data validation warnings.

1. Fund Details Page – Management Company Level - General Profile (Web Only)

- **CIT Product Only - New Data Point Attribute**
 - Requires Funds to indicate if the Fund Portfolios are only CIT Products
 - Non-extractable data point (NID - Not In Document)
 - When updated, data point will cascade to Share Class, Fund Portfolio and Security ID level records, per existing functionality
 - Initially will default to “No”
 - Values:

Data Point Values	Definition
No	Fund Portfolios Fund includes both CIT and Non-CIT Products
Yes	Fund Portfolio includes only CIT Products

Exhibit 1 – CIT Products Only

* CIT Products Only ▼
This Data Point is required.

2. Fund Details Page - Fund Portfolio Level – General Profile

The following new CIT Fund-related data point fields will be located on the Fund Details page under the General Profile section of the Fund Portfolio Details tab when ‘Large Trade Notification Required is “Yes”, and the Product Type is either a “05 – Guaranteed Investment Contract (GIC)”, “06 – Stable Value Fund”, “08 – Bank Collective Trust”, or “10 – Bank Investment Contract (BIC)”.

- **Large Trade Notification - Number of days of Advance Notice – New Data Point Attribute**
 - Allows Funds to enter the required number of business days (1-365 days) of advance notice for submitting a large trade notification
 - Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” and “Large Trade Notification Required” is “Yes”
 - Non-extractable data point (NID - Not In Document)
 - Data point cascades to Security ID level records, per existing functionality
 - Values:

Data Point Values	Definition
Numeric	X(3): 999

* Large Trade - Number of Days of Advanced Notice

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- **Large Trade Notification Advance Notice -Time Cutoff – New Data Point Attribute**
 - Allows Funds to enter a deadline (time of day in ET) for submitting advance notice of a large trade (1-365 days)
 - Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” and “Large Trade Notification Required” is “Yes”
 - Non-extractable data point (NID - Not In Document)
 - When updated, data point will cascade to Security ID level records, per existing functionality
 - Values:

Data Point Values	Definition
Military Time	XX:XX: 16:00

* Large Trade Advance Notice - Time Cutoff

HH : MM

2. Fund Details Page - Fund Portfolio Level – CIT General Profile

The following new CIT Fund-related data point fields will be located on the Fund Details page under the CIT General Profile section of the Fund Portfolio Details tab when the Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”.

- **CIT Product Type – New Data Point Attribute (Web Only)**

- This field is non-editable and displays the CIT-specific Product Type copied from the Product Type Field in the General Profile section of the Fund Portfolio Details tab
- Non-extractable data point (NID - Not In Document)
- Data point cascades to Security ID level records, per existing functionality
- Values: Product Types

Data Point Values	Definition
05	Guaranteed Investment Contract (GIC)
06	Stable Value Fund
08	Bank Collective Trust
10	Bank Investment Contract (BIC)

- **CIT Employer Identification Number (EIN) – New Data Point Attribute**

- Allows Funds to enter the associated Employer Identification Number (EIN)
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Numeric	X(2)-X(7): 99-9999999

- **DOL 5500 Plan Number (EIN) – New Data Point Attribute**

- Allows Funds to enter the associated DOL 5500 Plan Number
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Numeric	X(3): 999

* DOL Plan Number

• **Stable Value Markets-to-Book Calculation Required upon Redemption – New Data Point Attribute**

- Indicates whether a calculation of fair market value of the underlying assets relative to the guaranteed book value is required
- Required when “CIT Product Type” is “06 – Stable Value Fund”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
No	Calculation is not required
Yes	Calculation is required

* Stable Value-Market-to-Book Calculation Required upon redemption

* Stable Value - Put Requirement

• **Stable Value Put Requirement – New Data Point Attribute**

- Indicates whether a plan sponsor or participant may receive the investment's book value (not the market value) at the time of withdrawal
- Required when “CIT Product Type” is “06 – Stable Value Fund”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
No	A plan sponsor or participant will not receive the investment's book value at the time of withdrawal
Yes	A plan sponsor or participant may receive the investment's book value (not the market value) at the time of withdrawal

* Stable Value - Put Requirement

* Stable Value - Put Requirement Time Required


- **Stable Value Put Requirement Time Required(Months) – New Data Point Attribute**
 - Indicates the minimum amount of time (Months) that must occur prior to submitting a withdrawal
 - Required when “CIT Product Type” is “06 – Stable Value Fund” and “Stable Value Put Requirement” is “Yes”
 - Non-extractable data point (NID - Not In Document)
 - Data point cascades to Security ID level records, per existing functionality
 - Values:

Data Point Values	Definition
Numeric	X(2): 99

* Stable Value - Put Requirement Time Required

- **CIT Offer Statement Date or Restatement Date – New Data Point Attribute**
 - Effective date of a new or updated legal agreement
 - Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
 - Non-extractable data point (NID - Not In Document)
 - Data point cascades to Security ID level records, per existing functionality
 - Values:

Data Point Values	Definition
Date	CCYYMMDD

* CIT Offer statement date or restatement date 

* B50 eligibility

* B51 eligibility

* B52 eligibility

* Direct Filing Entity

Contact Information

* Joinder/Participation Agreements Contact

* Pre-funding Contacts

* Post-funding (ongoing operations) Contact

* Exiting Trade Contact

Friday

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Sun	Mon	Tue	Wed	Thu	Fri	Sat
28	29	30	01	02	03	04
05	06	07	08	09	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	01
02	03	04	05	06	07	08

- **B50 Eligibility – New Data Point Attribute**

- Allows Funds to indicate if the CIT is eligible to submit NSCC Networking B50 transactions
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
No	CIT is not eligible to submit NSCC Networking B50 transactions
Yes	CIT is eligible to submit NSCC Networking B50 transactions

- **B51 Eligibility – New Data Point Attribute**

- Allows Funds to indicate if the CIT is eligible to submit NSCC Networking B51 transactions
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Value	Definition
No	CIT is not eligible to submit NSCC Networking B51 transactions
Yes	CIT is eligible to submit NSCC Networking B51 transaction

- **B52 Eligibility – New Data Point Attribute**

- Allows Funds to indicate if the CIT is eligible to submit NSCC Networking B52 transactions
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
No	CIT is not eligible to submit NSCC Networking B52 transactions
Yes	CIT is eligible to submit NSCC Networking B52 transactions

A screenshot of a web form. The field is labeled '* B52 eligibility'. A dropdown menu is open, showing three options: 'No' (highlighted in blue), 'No', and 'Yes'. Below this field, another field labeled '* Direct Filing Entity' is partially visible. The text 'Contact Information' is also visible at the bottom of the form area.

- **Direct Filing Entity (DFE) – New Data Point Attribute**

- Allows Funds to indicate if the CIT is a Direct Filing Entity (DFE)
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
No	CIT is not a Direct Filing Entity (DFE)
Yes	CIT is a Direct Filing Entity (DFE)

A screenshot of a web form. The field is labeled '* Direct Filing Entity'. A dropdown menu is open, showing three options: 'No' (highlighted in blue), 'No', and 'Yes'. Below this field, the text 'Contact Information' is visible.

- **Joinder/Participation Agreements Contact – New Data Point Attribute**

- Allows Funds to identify the associated agreement party contact (joinder/participation agreements/eligibility/etc.)
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Alpha/Numeric	Group Email Address

* Joinder/Participation Agreements Contact

abc @ fund.com

Buttons: Add, Copy, Paste, Close

- **Pre-Funding Contact – New Data Point Attribute**

- Allows Funds to identify the associated pre-funding contact (joinder/participation agreements/eligibility/etc.)
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Alpha/Numeric	Group Email Address

* Pre-funding Contacts

abc @ fund.com

Buttons: Add, Copy, Paste, Close

- **Post-Funding Contact – New Data Point Attribute**

- Allows Funds to identify the associated post-funding contact (ongoing operations)
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Alpha/Numeric	Group Email Address

* Post-funding (ongoing operations) Contact

abc @ fund.com

- **Exiting Trade Contact – New Data Point Attribute**

- Allows Funds to identify the associated exiting trade contact
- Required when the CIT Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)”
- Non-extractable data point (NID - Not In Document)
- When updated, data point will cascade to Security ID level records, per existing functionality
- Values:

Data Point Values	Definition
Alpha/Numeric	Group Email Address

* Exiting Trade Contact

abc @ fund.com

3. Data Inquiry Page (Web Only)

- CIT Fund Data Point fields, excluding Large Trade-related data, will be viewable in a new “CIT Data” tab on the Data Inquiry page, providing visibility to operational parameters established for affected securities.

The screenshot shows the Data Inquiry interface for GIC - GIC CIT. The left-hand navigation menu has 'CIT Data' highlighted with a red box. The main content area is divided into two sections:

CIT General Profile	
Product Type	GIC (Guaranteed Investment Contract)
CIT Products Only	N/A
EBI	N/A
DOL Plan Number	N/A
Stable Value Market-to-Book Calculation Required upon redemption	N/A
Stable Value - Put Requirement	N/A
Stable Value - Put Requirement Time Required	N/A
CIT Offer statement date or redemption date	N/A
850 eligibility	No
851 eligibility	N/A
852 eligibility	N/A
Direct Filing Entry	N/A

CIT Contact Information	
Jointer/Participation Agreements Contact Group	N/A
Jointer/Participation Agreements Contact Domain	N/A
Pre-funding Contacts Group	N/A
Pre-funding Contacts Domain	N/A
Post-funding (ongoing operations) Contact Group	N/A
Post-funding (ongoing operations) Contact Domain	N/A
Existing Trade Contact Group	N/A
Existing Trade Contact Domain	N/A

- The two “new” Large Trade-related Data Point fields, specifically “Large Trade Notification – Number of Days of Advance Notice” and “Large Trade Notification Advance Notice – Time Cutoff”, have been grouped with the existing Large Trade Data Point Fields and will be viewable in the “General” tab on the Data Inquiry page, providing visibility to these operational parameters established for affected securities.

The screenshot shows the Data Inquiry interface for GIC - GIC CIT. The left-hand navigation menu has 'General' highlighted with a red box. The main content area is divided into two sections:

Security Identification	
Fund Family Brand Name	Interval Test Fund
Fund Family Number	8880
Security ID	GIC CIT
Ticker Symbol	GIC CIT
Symbol Code	Fund-Created
Internal Fund Number	GIC CIT
Share Class Name	GIC
Product Type	GIC (Guaranteed Investment Contract)
Investment Class	Aggressive Growth Fund
Load Type	No Load
Fund Status	Open
Dividend Type	Record Date
Dividend Frequency	N/A
Statement Security ID Long Name	GIC CIT
Statement Security ID Short Name	GIC CIT
Statement Security ID Medium Name	GIC CIT
Fund Portfolio Name	CIT 1
Merged Security ID	Yes
Offering Type	Continuous
Portfolio Turnover Rate	0.00
Principal Strategies and Risks	
Transfer Restrictions	
Investment Objectives	

Important Rules	
RDA Eligible	No
LOI Rules Apply	No
STR Rules Apply	No
Breakpoint Rules Apply	No
12b-1 Rules Apply	No
CDSC Rules Apply	No
Eligible for Greenbacked Commission	No
Large Trade Notification Required	No
Large Trade Order Transmittal Agreement	N/A
Large Trade - Number of Days of Advance Notice	N/A
Large Trade Advance Notice - Time Cutoff	N/A

3. New - Batch Record Type 036 – CIT Fund Information

Batch file updates will affect both fixed and variable record layouts. However, for the purpose of illustration, the variable layout is used below. **Red text** identifies the CIT Fund-related enhancements.

Input/Output (Required when the Product Type Code in Record 020 = “05”, “06”, “08” or “10”)

Field Name	Start	End	Len	Type	Description	Opt/Req	Reject Code
Record Type Length	1	4	4	N	0528 Must be 0232 if Action Type = 'D'	R	0088
Physical Sequence Number	5	6	2	A/N		R	0080
Logical Sequence Number	7	8	2	A/N	01	R	0080
Filler	9	9	1	A/N	Space	R	
Reminder Indicator	10	10	1	A/N	Output Only; Space on input 'R' = Reminder on Output	R	
Originator Type Indicator	11	11	1	A/N	'F' = Fund	R	0081
Profile Type	12	13	2	A/N	06 = Hierarchical Security Issue	R	0082
Record Type	14	16	3	A/N	036 =CIT	R	0083
Action Type	17	17	1	A/N	A = add C = change D = delete	R	0349
Submitting Participant Number	18	21	4	A/N	@	R	0100
Filler	22	32	11	A/N	Space	R	
Submission Date	33	40	8	A/N	ccyymmdd	R	0036
Profile Effective Date	41	48	8	A/N	ccyymmdd @	R	0044
Security Issue Country Code	49	50	2	A/N	See ISO Country Codes @	R	0153
Security Issue ID	51	59	9	A/N	@	R	0040
ISIN Security Issue Check Digit	60	60	1	A/N	@	R	0041
Filler	61	78	18	A/N		R	

Field Name	Start	End	Len	Type	Description	Opt/Req	Reject Code
Test Indicator	79	79	1	A/N	Space = Production 1=Test	R	0089
NSCC Rejection Indicator	80	80	1	A/N	Input: Space Output: 0 = Accepted by NSCC 1 = Rejected by NSCC 2 = Rejected by NSCC, Multiple Errors	R	
NSCC Reject Code	81	84	4	A/N	Input: Space Output: Reject code or space	R	NSCC Reject Code
Share Class Name	85	104	20	A/N	@	R	0526
CIT EIN	105	113	9	N	99-9999999	R	0837 Invalid EIN
DOL 5500 Plan Number	114	116	3	N	999	R	0838 Invalid DOL 5500 Plan Number
Stable Value-Market-to-Book Calculation Required upon redemption Note: Required when Product Type is "06" ("Stable Value Fund") on the General Profile Record - Record Type 020	117	117	1	A/N	0 = No 1 = Yes	OC	0839 Invalid Stable Value - Market-to-Book Calculation Required upon redemption Code

Field Name	Start	End	Len	Type	Description	Opt/Req	Reject Code
Stable Value - Put Requirement Note: Required when Product Type is "06" ("Stable Value Fund") on the General Profile Record - Record Type 020	118	118	1	A/N	0 = No 1 = Yes	OC	0840 Invalid Stable Value - Put Requirement Code
Stable Value - Put Requirement Time Required Note: Required when the "Stable Value - Put Requirement" is "1 = Yes"	119	120	2	A/N	99 Number of months	OC	0841 Invalid Stable Value - Put Requirement Time Required Value
CIT Offer Statement Date or Restatement Date	121	128	8	A/N	ccymmdd	R	0842 Invalid CIT Offer Statement Date or Restatement Date
B50 eligibility	129	129	1	A/N	0 = No 1 = Yes	R	0843 Invalid B50 eligibility code
B51 eligibility	130	130	1	A/N	0 = No 1 = Yes	R	0844 Invalid B51 eligibility code
B52 eligibility	131	131	1	A/N	0 = No 1 = Yes	R	0845 Invalid B52 eligibility code
Large Trade Notification - Number of Days of Advance Notice Note: Required when the "Large Trade Advance Notification Needed" in Record Type 020 is "1 = Yes"	132	134	3	N	999 (1-365) 0=N/A	OC	0846 Invalid Large Trade Notification - Number of Days of Advance Notice

Field Name	Start	End	Len	Type	Description	Opt/Req	Reject Code
Large Trade Notification Advance Notice - Time Cutoff Note: Required when the "Large Trade Advance Notification Needed" in Record Type 020 is "1 = Yes"	135	138	4	A/N	Military Time (ET) hhmm	OC	0847 Invalid Large Trade Notification Advance Notice - Time Cutoff
Direct Filing Entity (DFE)	139	139	1	A/N	0 = No 1 = Yes	R	0848 Invalid Direct Filing Entity (DFE) code
Filler	140	158	19	A/N		R	
Fund Portfolio Name	159	218	60	A/N		R	0527
Filler	219	232	14	A/N		R	
Joinder/Participation Agreements Contact (Group name)	233	262	30	A/N	Group name component of the email address i.e., for email address 'company@abc.com', ' company ' would be populated in this field.	R	0849 Invalid Joinder/Participation Agreements Contact
Joinder/Participation Agreements Contact (Domain)	263	292	30	A/N	Domain component of email address i.e., for email address 'company@abc.com', ' abc.com ' would be populated in this field.	R	0849 Invalid Joinder/Participation Agreements Contact
Filler	293	306	14	A/N		R	
Pre-funding Contacts (Group name)	307	336	30	A/N	Group name component of the email address i.e., for email address 'company@abc.com', ' company ' would be populated in this field.	R	0850 Invalid Pre-funding Contact
Pre-funding Contacts (Domain)	337	366	30	A/N	Domain name component of the email address i.e., for email address 'company@abc.com', ' abc.com ' would be populated in this field.	R	0850 Invalid Pre-funding Contact

Field Name	Start	End	Len	Type	Description	Opt/Req	Reject Code
Filler	367	380	14	A/N		R	
Post-funding (ongoing operation) Contact (Group name)	381	410	30	A/N	Group name component of the email address i.e., for email address 'company@abc.com', 'company' would be populated in this field.	R	0851 Invalid Post-funding (ongoing operation) Contact
Post-funding (ongoing operation) Contact (Domain)	411	440	30	A/N	Domain component of email address i.e., for email address 'company@abc.com', 'abc.com' would be populated in this field.	R	0851 Invalid Post-funding (ongoing operation) Contact
Filler	441	454	14	A/N		R	
Exiting Trade Contact (Group name)	455	484	30	A/N	Group name component of the email address i.e., for email address 'company@abc.com', 'company' would be populated in this field.	R	0852 Invalid Exiting Trade Contact
Exiting Trade Contact (Domain)	485	514	30	A/N	Domain component of email address i.e., for email address 'company@abc.com', 'abc.com' would be populated in this field.	R	0852 Invalid Exiting Trade Contact
Filler	515	528	14	A/N		R	

New Web Validation Warnings

New Validation Warning Rules will be generated when a fund with CIT Product Type “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” encounters the conditions listed below.

To provide clients with sufficient time to update their CIT Fund data in Profile Security without impacting their quality index score, these validation warnings will not be initially generated. DTCC will issue a separate communication to advise when these validation warnings will commence.

- **Blank CIT Data Points:** Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” AND when one of the following is true:

- EIN is 'BLANK'
 - DOL Plan Number is 'BLANK'
 - Joinder/Participation Agreements Contact is 'BLANK'
 - Pre-funding Contacts is 'BLANK'
 - Post-funding (ongoing operations) Contact is 'BLANK'
 - Exiting Trade Contact is 'BLANK'
 - B50 eligibility is 'BLANK'
 - B51 eligibility is 'BLANK'
 - B52 eligibility is 'BLANK'
 - Direct Filing Entity is 'BLANK'
 - CIT Offer statement date or restatement date is 'BLANK'
- **Stable Value Market-to-Book Calculation Required upon redemption:** Product Type is “06 – Stable Value Fund” and “Stable Value - Market-to-Book Calculation Required upon redemption” is "Blank".
 - **Stable Value – Put Requirement:** Product Type is “06 – Stable Value Fund” and “Stable Put Requirement is "Blank".
 - **Stable Value – Put Requirement Time Required:** “Stable Value – Put Requirement” is "Yes" and “Stable Value – Put Requirement Time Required” is "Blank".
 - **Large Trade Notification Required - Large Trade- Number of Days of Advanced Notice:** Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” and “Large Trade Notification Required” is "Yes" and “Large Trade- Number of Days of Advanced Notice” is "Blank".
 - **Large Trade Notification Required - Large Trade - Advance Notice -Time Cutoff:** Product Type is “05 – Guaranteed Investment Contract (GIC)” or “06 – Stable Value Fund” or “08 – Bank Collective Trust” or “10 – Bank Investment Contract (BIC)” and “Large Trade Notification Required” is "Yes" and “Large Trade - Advance Notice -Time Cutoff” is "Blank".

B. Trump Account Social Code

Social Code Enhancements (Batch files and Web Screens)

The following new social code will be added to **Profile Security** to provide a standard method for intermediaries and asset managers to identify accounts as Trump Accounts.

Social Code	NSCC Social Code Description	Definition and Best Practice
B0	Trump Account	Use for accounts registered as a Trump Account. Best Practice: Firm is responsible for tax reporting.

Clients are strongly encouraged to review and update the mapping of accounts on their systems to the appropriate NSCC social code when communicating through NSCC.