



IMPORTANT NOTICE

National Securities Clearing Corporation

A #:	9722
P&S:	9295
Date:	February 26, 2026
To:	ALL MEMBERS AND LIMITED MEMBERS
Category:	FUND SOLUTIONS
From:	WEALTH & INVESTMENT SOLUTIONS – FUND SOLUTIONS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MF Info Xchange Service Enhancements

Effective **April 10, 2026**, National Securities Clearing Corporation (NSCC) will implement additional enhancements and capabilities to **MF Info Xchange to support Mutual Fund to ETF Share Class Exchanges**.

New “MF to ETF Share Class Exchange Blackout Period” event notification

This notification will allow fund clients to communicate when they are implementing blackout periods; exchanges between the Mutual Fund and ETF Share Class will not be permitted.

Note: Data elements and field requirements are included in the attached Appendix.

PLEASE NOTE: In order to perform code migration, MF Info Xchange will not be available in production on **April 9, 2026**, between **8pm-11pm ET**.

TESTING

Effective **March 27, 2026**, clients can begin testing in the Participant Services Environment (PSE).

Clients are strongly encouraged to test and must contact their Relationship Manager before testing begins if access to PSE has not been previously established.

DOCUMENTATION

Updated documentation will be available on the DTCC Learning website on or about **March 20, 2026**.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

The API schemas will be available in the DTCC Marketplace on **March 25, 2026** (PSE Marketplace) and **March 27, 2026** (Production Marketplace).

Clients can find more information on MF Info Xchange at <https://dtcclearing.com/products-and-services/mutual-fund-services/mf-info-xchange.html>

Questions and comments regarding this Important Notice may be directed to your Relationship Manager or Wealth & Investment Solutions by visiting the [DTCC Client Center](#).

Yana Granovskiy
Product Manager

DTCC Wealth & Investment Solutions

Appendix

The Appendix is for informational purposes only and may not represent the final data dictionary. NSCC reserves the right to make additional changes prior to implementation.

1. Event Notification Step 1 (common step for all Event Types) – “Event Type” will be updated as follows:

UI Field Name	API Field Name	Type	O/C/R	Length	UI Definition	API Definition	Description / Values
Event Type	eventType	A/N	R	255	<p>Selection List of all Event Types (Notifications)</p> <p>Can select from drop down</p>	<p>Event Type should be populated with one of the event types from description</p> <p>Note: this field is NOT included within the “initialStep” array on the Receive Notification’s Data for an Event ID API endpoints. It is a standalone field within the Notification API schema.</p>	<ul style="list-style-type: none"> • Account/History Purge • Benchmark Change • Dividend/Capital Gain Schedule • Expense Ratio Change • Fee/Breakpoint Change • Fund Closure - Hard Close • Fund Closure – Liquidation • Fund Closure – Soft Close • Fund Merger • Fund Name Change • Fund Reopening • General Announcement • Interval/Tender Fund Transaction Schedule • Issue Notification • Large Trade Notification • Large Trade Threshold Change • Liquidity Fee Notification – Discretionary Fee • Liquidity Fee Notification – Mandatory Fee • MF to ETF Share Class Exchange Blackout Period • Mutual Fund to ETF Conversion • New Fund/New Share Class Launch • Price/Rate Error • Prospectus Change • Purchase Maximum Change • Purchase Minimum Change • Security Issue ID Change • Share Class Conversion/Exchange • Share Class Eligibility Change • Share Split • Subadvisor Change • Transfer Agent Change/Fund Acquisition • Unscheduled Market Close (UMC) • Upcoming Proxy • US Holiday Announcement

2. MF to ETF Share Class Exchange Blackout Period Notification: Step 2 fields

UI Field Name	API Field Name	Type	O/C/R	Length	UI Definition	API Definition	Description / Values
From Fund Number (Impacted Funds table)	fromFund Number	A/N	O	8			Number assigned by the fund's transfer agent to uniquely identify this fund.
From Security Issue ID (Impacted Funds table)	fromSecIssued	A/N	O	9			DTCC Identifier for this fund.
From Fund Name (Impacted Funds table)	fromFund Name	A/N	O	60			The name of the fund.
From Ticker (Impacted Funds table)	fromFund Ticker	A/N	O	12			The Fund-Created, NASDAQ or other symbol for this fund.
From Share Class (Impacted Funds table)	fromFund ShareClasses	A/N	O	20			A unique identifier that identifies this share class (e.g., "Class A", "Class B", "Advisor Class").
To Security Issue ID (Impacted Funds table)	toSecIssueId	A/N	O	9			DTCC Identifier for this fund.
To Fund Name (Impacted Funds table)	toFundName	A/N	O	60			The name of the fund.
To Ticker (Impacted Funds table)	toFundTicker	A/N	O	12			The Fund-Created, NASDAQ or other symbol for this fund.
Blackout Period Start Date (Impacted Funds Table)	blackoutStartDate	Date	O	10	Calendar picker		The first day where the exchange blackout period applies MM/DD/CCYY This date can be backdated
Blackout Period End Date (Impacted Funds Table)	blackoutEndDate	Date	O	10	Calendar picker		The final day where the exchanges blackout period applies MM/DD/CCYY This date can be backdated
Comment	impFundsComments	A/N	O	1000			Special characters allowed

UI Field Name	API Field Name	Type	O/C/R	Length	UI Definition	API Definition	Description / Values
(Impacted Funds table)	(impacted Funds)						
N/A	status (Impacted Funds table)	A/N	O/C	1	N/A	<p>Can be populated with values from the Description Column</p> <p>Not allowed on the "Create a Notification" endpoint.</p> <p>Required on the "Update a Notification" endpoint if the respective table contains data. All existing rows within this table must be provided with the corresponding "rowId" and "status" fields.</p>	<p>Values allowed:</p> <ul style="list-style-type: none"> • 'I' - Insert new row • 'D' - Delete existing row • 'U' – Update or Keep existing row <p>If "rowId" is blank, "status" must be "I". If "rowId" is provided, "status" must be "D" or "U". "status"="D" or "U" is not allowed when "rowId" is blank. "status"="I" is not allowed when "rowId" is provided.</p>
N/A	rowId (Impacted Funds table)	Integer	O/C	11	N/A	<p>Not allowed on the "Create a Notification" endpoint.</p> <p>Required on the "Update a Notification" endpoint if the respective table contains data. All existing rows within this table must be provided with the corresponding "rowId" and</p>	<p>Required if status is one of the following:</p> <ul style="list-style-type: none"> • 'D' - Delete existing row • 'U' – Update or Keep existing row <p>Not allowed if status = 'I' - Insert new row</p> <p>If "rowId" is blank, "status" must be "I". If "rowId" is provided, "status" must be "D" or "U". "status"="D" or "U" is not allowed when "rowId" is blank.</p>

UI Field Name	API Field Name	Type	O/C/R	Length	UI Definition	API Definition	Description / Values
						<p>“status” fields.</p>	<p>“status”=“I” is not allowed when “rowId” is provided.</p> <p>“rowId” values must correspond to the values assigned by MF Info Xchange. “Receive Notification Data for an Event ID” endpoint must be called to return the current version of the notification with the rowIds assigned to each row of the table</p>
Comments	blackoutComment	A/N	O	4000			Special characters allowed