

# IMPORTANT NOTICE

**Fixed Income Clearing Corporation**



**#:** GOV006.09  
**Date:** January 12, 2009  
**To:** Government Securities Division Participants  
**Subject:** Executing Firm Master File Update – Legent Clearing LLC /9686

Effective January 13, 2009, the following additions will be made to the Government Securities Division's Executing Firm Master File:

<u>Executing Firm</u>	<u>Symbol</u>	<u>Executing Clearing Name/No.</u>	<u>Product</u>	<u>Net</u>
A&F Financial Securities, Inc.	FRIS	Legent Clearing/9686	All	No
AB Wong Capital LLC	WONG	Legent Clearing/9686	All	No
ACAP Financial, Inc.	ACAF	Legent Clearing/9686	All	No
AIS Financial, Inc.	PAAD	Legent Clearing/9686	All	No
Americas Choice Equities, LLC	ACEP	Legent Clearing/9686	All	No
Basis Financial LLC	BFLN	Legent Clearing/9686	All	No
Bear Creek Securities, LLC	BCRK	Legent Clearing/9686	All	No
Brockington Securities, Inc	BROK	Legent Clearing/9686	All	No
Capital Path Securities, LLC	PATH	Legent Clearing/9686	All	No
CD Funding Securities, LLC	CDFS	Legent Clearing/9686	All	No
EKN Financial Services, Inc.	EKNS	Legent Clearing/9686	All	No
Elish & Elish, Inc.	ELSH	Legent Clearing/9686	All	No
Equinox Securities, Inc	EQNX	Legent Clearing/9686	All	No
Financial Services International Corp	WORK	Legent Clearing/9686	All	No
Financial Telesis Inc.	FTII	Legent Clearing/9686	All	No
First London Securities Corporation	FLSD	Legent Clearing/9686	All	No
Intermerchant Securities, LLC	IMSL	Legent Clearing/9686	All	No

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KC Ward Financial	KCWF	Legent Clearing/9686	All	No
Kenneth, Jerome & Co. Inc.	KJER	Legent Clearing/9686	All	No
Marquis Financial Services, Inc	MAFS	Legent Clearing/9686	All	No
Meyers Associates, LP	MYLG	Legent Clearing/9686	All	No
MidAmerica Financial Services, Inc.	MFSI	Legent Clearing/9686	All	No
National Securities Corp.	NAPK	Legent Clearing/9686	All	No
Peak Securities Corporation	UBUY	Legent Clearing/9686	All	No
Private Equity Securities, Inc.	PESI	Legent Clearing/9686	All	No
Seaboard Securities Inc.	SEAL	Legent Clearing/9686	All	No
Seaboard Securities, Inc.	SEAB	Legent Clearing/9686	All	No
Semaza Securities	SMZA	Legent Clearing/9686	All	No
Success Trade Securities, Inc.	STJT	Legent Clearing/9686	All	No
Success Trade Securities, Inc.	VERN	Legent Clearing/9686	All	No
Windham Securities, Inc.	WHAM	Legent Clearing/9686	All	No
World Trade financial Corp.	WORL	Legent Clearing/9686	All	No
Zealous Capital Markets, LLC	AULT	Legent Clearing/9686	All	No

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager.