



<b>B #:</b>	1946-15
<b>Date:</b>	October 22, 2015
<b>To:</b>	All Participants
<b>Category:</b>	Operations
<b>From:</b>	Asset Services – Corporate Actions Transformation Product Management Team
<b>Attention:</b>	Operations Managers/Technology Managers/Cashiers/Officers
<b>Subject:</b>	\$50,000 Fee for CCF Corporate Action Announcement Files Will Become Effective on January 1, 2016

As announced earlier this year in Important Notice B#0354-15, **beginning on January 1, 2016, DTC will charge a fee to clients taking CCF files for Distributions announcements. The \$50,000 fee concerns the CCF files listed below and will be a one time charge for the year, regardless of whether one file or multiple files are taken.**

CCF FILE NAME	RECORD FORMAT
DIVANN	DIVANN
CMORTX	CMORTA, CMORTB, CMORTC, CMORTD, CMORTE, CMORTF, CMORTG, CMORTH, CMORTI, CMORTS, CMORTX
MUNANN	MUNANN
RDPRP2	RDPRP2
RDPRPT	DVCHGA, DVCHGB, DVCHGC, DVCHGD, DVCHGE, DVCHGF, DVCHGG, DVCHGH, DVCHGI
RDPRPT	RDPRPT

Firms with multiple DTC Participant numbers will be charged subject to the following rules:

- Firms whose multiple Participant numbers roll up to one ‘parent’ or ‘registration company’ will be charged \$50,000 only once, even if affected files are taken by more than one of their Participant accounts
- Firms whose multiple Participant numbers are separate entities and therefore are distinct registration companies, will be charged \$50,000 per registration company that takes the affected files

DTC has been offering ISO 20022 messaging for announcements in production since November of 2011. Designed to replace DTC’s proprietary CCF files, ISO messages are provided to Participants free of charge.

DTC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

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Firms that have successfully transitioned to ISO 20022 messaging for announcements are urged to disable their CCF file transmissions as soon as possible in order to avoid incurring the \$50,000 fee.

Questions about this notice can be directed to your Relationship Manager or the CA Transformation mailbox at [CATransformation@dtcc.com](mailto:CATransformation@dtcc.com).

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