



Important Notice
National Securities Clearing Corporation

A#:	8167
P&S #:	7742
Date:	02/19/2016
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, February 19, 2016:

Arbor Court Capital LLC/Tea Leaf Management Investment Trust	No.	7106
Rafferty Capital Markets LLC/Clifford Capital Partners Fund	No.	3050

Last Trade Date, February 23, 2016:

Independent Bankers' Bank of Florida	No.	6778
MUNI Alpha Identifier:	IBBF	

- The following firm will no longer participate in the OTC Comparison service, as detailed below:

Last Trade Date, February 17, 2016:

Credit Agricole Securities (USA) Inc.	No.	0651
OTC Alpha Identifier:	LYON	

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, February 22, 2016:

Phillip Capital Inc.	No.	8460
OTC Alpha Identifier:	PCPT	

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, February 23, 2016:

Northern Lights Distributors, LLC/Counterpoint Tactical Income Fund	No.	3145
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- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 19, 2016:

Great Lakes Fund Solutions, Inc.	No.	9318
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- Please update the NSCC Directory dated February 2016 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, February 22, 2016</u>				
From: Prebon Financial Products Inc.	SILK	0501	PBON	
To: Tullett Prebon Financial Services LLC	GSCO	0005	PBON	
<u>Clearing Broker Change Effective First Trade Date, March 14, 2016</u>				
From: KR Securities, LLC	BSSC	0352	KRCO	
To: KR Securities, LLC		0235	KRCO	
<u>Delete Last Trade Date, February 19, 2016</u>				
Blackrock Execution Services	BSSC	0352	BGIS	

- Please update the NSCC Directory dated February 2016 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, February 22, 2016</u>				
From: Saxis Group, Inc.	SILK	0501	CADG	
To: Weeden Prime Services, LLC.	GSCO	0005	CADG	
<u>Clearing Broker Change Effective First Trade Date, February 22, 2016</u>				
From: United First Partners, LLC	SILK	0501	UFPA	
To: United First Partners, LLC	GSCO	0005	UFPA	
<u>Clearing Broker Change Effective First Trade Date, February 22, 2016</u>				
From: Tourmaline Partners, LLC	SILK	0501	TOUR	
To: Tourmaline Partners, LLC	GSCO	0005	TOUR	
<u>Clearing Broker Change Effective First Trade Date, February 22, 2016</u>				
From: Rosenblatt Securities Inc.	SILK	0501	ROSN	
To: Rosenblatt Securities Inc.	GSCO	0005	ROSN	

Clearing Broker Change Effective First Trade Date, February 22, 2016

From: Macro Risk Advisors LLC	SILK	0501	MRAD
To: Macro Risk Advisors LLC	GSCO	0005	MRAD

Clearing Broker Change Effective First Trade Date, February 22, 2016

From: Schottenfeld Group LLC	SILK	0501	SHOT
To: Schottenfeld Group LLC	GSCO	0005	SHOT

Clearing Broker Change Effective First Trade Date, February 22, 2016

From: Williams Trading LLC	SILK	0501	WTCO
To: Williams Trading LLC	GSCO	0005	WTCO

Clearing Broker Change Effective First Trade Date, February 22, 2016

From: Drexel Hamilton, LLC	SILK	0501	DREX
To: Drexel Hamilton, LLC	GSCO	0005	DREX

Delete Last Trade Date, February 19, 2016

Instinet, LLC	INCA	0067	INTU
Instinet, LLC	INCA	0067	INHC
Benjamin & Jerold Brokerage I, LLC	ECUT	0100	BEGK

- Please update the NSCC Directory dated February 2016 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, February 22, 2016</u>				
Weeden Prime Services, LLC.	GSCO	0005	CADG	
United First Partners, LLC	GSCO	0005	UFPA	
Tourmaline Partners, LLC	GSCO	0005	TOUR	
Rosenblatt Securities Inc.	GSCO	0005	ROSN	
Macro Risk Advisors LLC	GSCO	0005	MRAD	
Schottenfeld Group LLC	GSCO	0005	SHOT	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.