



Important Notice
National Securities Clearing Corporation

A#:	8263
P&S #:	7838
Date:	09/30/2016
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

J.P. Morgan Clearing Corp.

No. 0352

As a result of the merger of J.P. Morgan Clearing Corp. with and into J.P. Morgan Securities LLC, J.P. Morgan Securities LLC will assume all open contracts for J.P. Morgan Clearing Corp. (#0352), which are to be cleared or settled through or by National Securities Clearing Corporation.

- Consequently, J.P. Morgan Securities LLC (#0187) will open the following additional account retaining all current services. Please note that nothing will change operationally with regards to the account.

First Trade Date, October 3, 2016:

J.P. Morgan Securities LLC/JPMC

No. 0352

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

E*Trade Clearing LLC

No. 0385

*As a result of the merger of E*Trade Clearing LLC with and into E*Trade Securities LLC, E*Trade Securities LLC will assume all open contracts for E*Trade Clearing LLC (#0385), which are to be cleared or settled through or by National Securities Clearing Corporation.*

- Consequently, E*Trade Securities LLC will open the following account retaining all current services. Please note that nothing will change operationally with regards to the account.

First Trade Date, October 3, 2016:

E*Trade Securities LLC

No. 0385

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

Quasar Distributors, LLC/Pictet Funds No. 5793

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, and DTCC Payment aXis services, as detailed below:

First Trade Date, October 3, 2016:

Foreside Fund Services, LLC/Pictet Funds No. 5793

Contact: Jean-Francois Pierrard

Phone: +352 4671717248

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

SEI Investments Distribution Co/Wilshire Mutual Funds No. 4875

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Ultimus Fund Distributor, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, MF – Profile, DTCC Payment aXis, ACATS Fund/SERV, and ACATS services, as detailed below:

First Trade Date, October 3, 2016:

Ultimus Fund Distributor, LLC/Wilshire Mutual Funds No. 4875

Contact: Anthony Gott

Phone: (816) 435-1682

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

M.J. Whitman LLC No. 5916

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 3, 2016:

Foreside Fund Services, LLC/Third Avenue Capital PLC No. 5916

Contact: Max Kadis

Phone: (610) 382-8356

- The following firm will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

M.J. Whitman LLC No. 5829

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, Standardized Date Reporting, MF – Profile service, Omni/SERV Activity & Position, DTCC Payment aXis, ACATS Fund/SERV, and ACATS services, as detailed below:

First Trade Date, October 3, 2016:

Foreside Fund Services, LLC/Third Avenue Funds No. 5829

Contact: Max Kadis

Phone: (610) 382-8356

- The following firms will retire from all services, as detailed below:

Last Trade Date, September 30, 2016:

Davlin Philanthropic Funds No. 3612

Vistra USA, LLC No. 5087

Vistra USA, LLC No. 5088

Vistra USA, LLC No. 5089

Vistra USA, LLC No. 5093

Vistra USA, LLC No. 5094

UMB Distribution Services LLC/Westport Funds No. 5598

- The following firm will no longer participate in the Bond Comparison service, as detailed below:

Last Trade Date, September 30, 2016:

Compass Bank No. 2483

MUNI Alpha Identifier: CBSS

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, September 29, 2016:

ALPS Distributors Inc./Brandes Dublin Offshore No. 5911

- The following firms will begin participation in the MF – Profile service, as detailed below:

First Trade Date, October 3, 2016:

Northern Lights Distributors, LLC/RESQ Funds No. 3019

Northern Lights Distributors, LLC/Day Hagan Funds No. 3317

Northern Lights Distributors, LLC/Conductor Global Fund No. 7017

Northern Lights Distributors, LLC/Grant Park Managed Futures Strategy Fund No. 5615

Northern Lights Distributors, LLC/Newfound Funds No. 3068

Northern Lights Distributors, LLC/JAG Funds No. 3603

Dunham & Associates Investment Counsel, Inc. No. 5675

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, September 28, 2016:

Blackrock Investments, LLC No. 5824

First Trade Date, October 3, 2016:

SS&C Technologies, Inc. No. 2250

First Trade Date, October 4, 2016:

MVP Reit, Inc. No. 8697

- The following firm will begin participation in the Fund/SERV, Networking, and MF – Daily Price and Rate services, as detailed below:

First Trade Date, October 3, 2016:

SEI Trust Company No. 3251
 Contact: Robb Muse
 Phone: (610) 676-1591

- The following additional number has been assigned to State Street Bank & Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, October 4, 2016:

State Street Bank & Trust Co./DOB No. 2373
 Contact: Belynda Crick
 Phone: (617) 662-6013

- Please update the NSCC Directory dated September 2016 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, September 26, 2016

TriPoint Global Equities, LLC LGNT 0052 TGEQ

Delete Last Trade Date, September 27, 2016

Elevation LLC MLCO 0161 ELTD

- Please update the NSCC Directory dated September 2016 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, October 3, 2016

Old Mission Markets LLC GSCO 0005 OLMN

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.